Collateral Evaluation User Guide Oracle Banking Credit Facilities Process Management Release 14.3.0.0.0

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Oracle Banking Credit Facilities Process Management User Guide Oracle Financial Services Software Limited Off Western Express Highway Goregaon (East) Mumbai, Maharashtra 400 063 India Worldwide Inquiries: Phone: +91 22 6718 3000 Fax: +91 22 6718 3001 www.oracle.com/financialservices/ Copyright © 2019, 2019, Oracle and/or its affiliates. All rights reserved. Oracle and Java are registered trademarks of Oracle and/or its affiliates. Other names may be trademarks of their respective owners.

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1. Welcome to Oracle Banking Credit Facilities Process Management

Welcome to the Oracle Banking Credit Facilities Process Management (OBCFPM) User Manual. This manual provides an overview on the OBCFPM application and guides you through the various steps involved in creating and processing collaterals and credit facilities transactions. If you need any information, look out for the help icon.

This document is intended for helping you to conveniently create and process collaterals and credit facilities transactions in OBCFPM

Overview of OBCFPM

OBCFPM is a collateral and credit facilities middle office platform which enables your bank to streamline the Collateral and Credit facilities operations.

Benefits

OBCFPM application provides service for the customers and financial institutions. This service helps the financial institutions to manage the Collaterals and Credit Facilities of the corporate clients. OBCFPM allows you to:

- Handle Collateral Evaluation, Collateral Perfection, Collateral Review and Collateral Release
 process
- Handle Credit Proposal with Customer on-boarding
- Financial Document Upload of the corporate clients.
- Quantitative and Qualitative analysis of the corporate clients
- Handle Credit Exceptions

Key Features

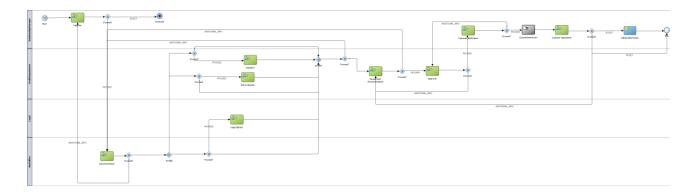
- Stand-alone system agnostic to back office application
- Requires very little change to bank's existing core systems
- Faster time to market
- Highly configurable based on corporate specific needs
- Flexibility in modifying processes
- Roll Based Dashboards

2. Collateral Evaluation

A Collateral Evaluation is a process in which the customer approaches the bank and requests the bank to evaluate the collateral. The Bank does an in-principle collateral evaluation and informs the customer about the collateral value. The various activities performed for Collateral Evaluation are

- Input Application Details
- Upload of related Mandatory and Non Mandatory documents
- Verify Documents and Capture Details
- Internal Legal Evaluation
- Risk Evaluation
- Internal Valuation of the Collateral
- Generate In-principal Collateral Agreement
- Receive the customer acceptance of the Collateral Agreement
- On customer acceptance initiate a detailed Collateral Perfection

2.1 Collateral Evaluation - Process Flow Diagram



The Collateral Evaluation process has the following stages handled by users authorized to perform the task under those stages.

- 1. Initiation
- 2. Data Enrichment
- 3. Legal Evaluation
- 4. Risk Evaluation
- 5. Valuation
- 6. Review & Recommendation
- 7. Approval
- 8. Draft Generation
- 9. Customer Acceptance

2.2 Initiation

Collateral Evaluation can be initiated when a customer approaches the bank and provides the application for collateral evaluation or when the Relationship Manager visits the customer location and Initiates the Collateral Evaluation on behalf of the customer.

On receiving the application for collateral evaluation the basic details of the application along with collateral details can be captured. On submit of the request, the request will be available for a Credit Operations user to enrich the collateral evaluation.

As a user, you will be able to login to the OBCFPM application with appropriate credentials. On login, you will be able to view the dashboard screen with dashboards, widgets mapped for your user profile.

Menu→Collaterals →Evaluation

(Screen)

From the 'menu bar', you can initiate a new collateral evaluation.

On selecting, Collateral Evaluation, a new screen will open to capture the details.

As a user, you can provide the basic application details.

2.2.1Quick Initiation

The Relationship Manager can do a quick initiation of the collateral evaluation or the operations user can do a quick initiation of collateral evaluation on receiving the application from the customer.

| pplication Branch * | | Application Priority * | Application Category | Application Date * |
|---------------------|---|-------------------------|----------------------------|----------------------------------|
| lexcube-002 | ~ | Low Medium High | Enter Application Category | 06/11/18 |
| ustomer Id * | | Customer Name | Collateral Type * | Collateral Description |
| 000039 | 0 | WAL | Machine 🔹 | Machine with RegNo reg0002918002 |
| Currency * | | Owner Estimated Value * | Purpose Of Collateral | Comments |
| USD | ୍ | \$8,888.00 | Enhancement of Facility | Enter the Comments |
| | | | | |
| | | | | |

| Field Name | Description | Attribute Type | Object Type | Size | Mandatory/Optional | Field Validation |
|-------------------------|--|-------------------|------------------|------|--------------------|---|
| Application Priority | Select the Applica- tion Priority | Input | Toggle Button | 3 | Mandatory | |
| Customer Id | Key in the cus- tomer id or choose the customer id from the List of | Input | LOV | 16 | Mandatory | Open and Active corporate cus- tomer can be se- lected |

| | Values | | | | | |
|-------------------------------|---|---------|--------------|------|-----------|--|
| Customer Name | The customer name of the se- lected customer will be displayed | Display | Text Box | | | |
| Collateral Type | Select the Collat- eral Type | Input | Drop Down | 22 | Mandatory | |
| Collateral Description | Specify the brief description of the Collateral | Input | Free Text | 255 | Optional | |
| Purpose of Collateral | Specify the pur- pose of the Collat- eral | Input | Free Text | 255 | Optional | |
| Collateral Currency | Key in the cur- rency or choose the currency from the List of Values | Input | LOV | 3 | Mandatory | Open and Active Currencies can be selected |
| Owner Es- timated Value | Specify the owner estimated value of the collateral | Input | Amount | 22,3 | Mandatory | |
| Comments | Specify the generic comments of the collateral | Input | Free Text | | Optional | |
| Documents | Upload the Collat- eral Documents | Input | Document | | Optional | |

Action Buttons

After providing required data, you will be able to perform one of the below actions -

a. **Submit** – On Submit, the details entered will be saved and system will trigger collateral evaluation task with the collateral details Task will be available in the Free Task queue for the operations user to acquire and enrich the collateral details. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.

b. **Submit and Enrich** - The details entered will be saved and system will trigger collateral evaluation task with the collateral details and Collateral Evaluation Initiation screen will be opened and you will be able to enrich the collateral details. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.

2.2.2Initiation

2.2.3 Application/Collateral Details

The Collateral and Application details captured as part of Quick Initiation will be defaulted and you will be able to modify the same. You will be able select the application category. Based on the Application category selected the documents to be uploaded and the Checklists applicable for the stage will be defaulted to the Document Upload and Checklists screens.

| 😑 🍞 FuTura Bank | My Tasks | | 1 | 🏦 Bank Futura - | (004) 04/13/18 | | Anand |
|-------------------------------|----------|--|--------------------------------|-----------------|-------------------|---------------|---------------------|
| Collateral Evaluation - Initi | iation | | | | | i N Documents | $_{\mu^{g}} \times$ |
| Application Details | Details | Ownership Details | Seniority Of Char | ge | | Comments | |
| Application Branch * | Ŧ | Application Category Enter Application Category | Application Date * | | Available From Da | ite * | |
| Collateral Type * | | Collateral Description * | Enter 2000 or fewer characters | | Owner Estimated | | |
| Machine | Ŧ | Enter Collateral Description | GBP | 0 | | £2,000,000.00 | |
| Is Insurance Available? | | Insurance ExpiryDate | Purpose Of Collateral | | Exposure Type * | | |
| Flexcube Flexcube Machine | | mm/dd/yy | Enter Purpose Of Collateral | | Industry X C | urrency × | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

Hold Back Next Save & Close Cancel

| | | | | | | [] |
|-------------------------------|---|-------------------|----------------|------|--------------------|--|
| Field Name | Description | Attribute Type | Object Type | Size | Mandatory/Optional | Field Valida- tion |
| Application Branch | System will de- fault the current branch and can be modified | Input | LOV | 3 | Mandatory | Open and Active Branch for the user has access can be se- lected |
| Application Date | System will de- fault the current application date. | Input | Date | | Mandatory | |
| Application Category | Select the Application Category | Input | LOV | 22 | Mandatory | Based on the application category se- lected the documents to be uploaded and checklists applicable for the stage will be defaulted |
| Collateral Type | Select the Col- lateral Type | Input | Drop Down | 22 | Mandatory | |
| Collateral Description | Specify the brief description of the Collateral | Input | Free Text | 255 | Optional | |
| Purpose of Collateral | Capture the pur- pose of collateral | Input | Free Text | 255 | Optional | |
| Collateral Currency | Key in the cur- rency or choose the currency from the List of Values | Input | LOV | 3 | Mandatory | Open and Active Cur- rencies can be selected |
| Owner Es- timated Value | Specify the owner estimated value of the collateral | Input | Amount | 22,3 | Mandatory | |

| Available From | Capture the Col- lateral Available From date | Input | Date | Mandatory | |
|--------------------------------|--|-------|--------|-----------|--|
| Is Insur- ance Available | Select if the In- surance is Avail- able | Input | Switch | | |
| Insurance Expiry Date | Capture the In- surance Expiry Date | Input | Date | | if Is Insurance Available is selected then Insurance Expiry date is mandatory |

Action Buttons on the footer

- a. Save & Close On click of Save & Close, the details of the captured will be saved.
 - a. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.
- b. **Hold** On Click of Hold the task status will be suspended and the task will be available in the Hold queue.
 - a. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.

c. Cancel – On Click the system will ask for confirmation and on confirming the task will be closed without saving the data.

d. Next – On click of Next, the details of the captured will be saved and then system will move to the Next Screen.

b. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.

2.2.4Ownership Details

The Ownership details of the collateral will be defaulted and you will be able to modify the same. By default the customer details captured as part of application details will be defaulted as the primary customer with ownership percentage as zero. You will be able to modify the same.

| = 🏾 🗗 FuTura Bank | Evaluation | | | 1 In-Country (004) 04/13/18 | | Radhika |
|---|--------------------------------|--|-------------------|-----------------------------|-------------------|---------|
| Collateral Evaluation - Ini Appli Ownership Details | tiation G cation Details | Ownership Details | Seniority Details | | Comments | ,* × |
| Ownership Type: Soyle Joint COS University Edit | | Ownership Details Customer Id * Cosod Primary Customer Ownership Percentage * 100% V * Remarks Update | × | fiki tad | Vert Save & Clove | Cancel |

| Field Name | Description | Attribute Type | Object Type | Size | Mandatory/Optional | Field Valida- tion |
|------------------------|--|-------------------|----------------|------|--------------------|--|
| Ownership Type | Select the Ownership type | Input | Dropdown | 1 | Mandatory | if ownership type is Joint then multiple ownership de- tails should be captured |
| Customer Id | Key in the customer id or choose the customer id from the List of Val- ues | Input | LOV | 16 | Mandatory | Open and Ac- tive corporate customer can be selected |
| Customer Name | The custom- er name of the selected customer will be displayed | Display | Text Box | | | |
| Is Primary Customer | Select if it is the Primary Customer | Input | Checkbox | 1 | Optional | Only one owner can be the Primary owner of the collateral. |

| Ownership Percentage | Capture the ownership Percentage | Input | Number | 3 | Mandatory | Percentage should not be greater than 100 and less than or equal to zero. If owner- ship type is joint then the total ownership percentage should not be greater than 100 |
|-------------------------|---|-------|-----------|-----|-----------|---|
| Remarks | Capture the remarks for the owner | Input | Free Text | 255 | Optional | |

Action Buttons on the Ownership Tile

a. **View** – On click of View, the details of the selected owner will be displayed.

b. **Edit** – On Click of Edit the details of the selected owner will be displayed and you will be able to modify the same.

c. Delete – On Click of Delete system will ask for a confirmation message. On confirming the owners details will be deleted.

Action Buttons on the footer

- c. Save & Close On click of Save & Close, the details of the captured will be saved.
 - a. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.
 - b. If the total ownership percentage is not equal than 100 then system will display an error message.
 - c. If there is more than one primary owner then system will display an error message.
- d. **Hold** On Click of Hold the task status will be suspended and the task will be available in the Hold queue.
 - a. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.
 - b. If the total ownership percentage is not equal than 100 then system will display an error message.
 - c. If there is more than one primary owner then system will display an error message.

c. Cancel – On Click the system will ask for confirmation and on confirming the task will be closed without saving the data.

d. Back – On Click of Back, the previous screen will be opened.

e. Next – On click of Next, the details of the captured will be saved and then system will move to the Next Screen.

- d. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.
- e. If the total ownership percentage is not equal than 100 then system will display an error message.
- f. If there is more than one primary owner then system will display an error message.

2.2.5Seniority Details

The Seniority of Charge of our bank on the Collateral can be captured. If the Seniority of Charge of our bank is Second Charge or Third Charge then the existing Charge details of the collateral has to be captured. You can capture the existing charge details by click on the Add button.

| = 🍞 FuTura Bank | Evaluation | | | | | m In-Country | (004) 04/13/18 | | Rad |
|---|-----------------------|------------------|-------------------|--------------|-------------------|--------------|----------------|-----------|-----|
| Collateral Evaluation - Ini | itiation | | | | | | | Documents | 1 |
| Appli | G lication Details | | Ownership Details | | Seniority Details | | | Comments | |
| Seniority Details | | | | | | | | | |
| Seniority of Charge First Second Third | | | | | | | | | |
| Re | efresh Add View Ed | dit Delete | | | | | | | |
| En: | ntity Name | Percentage Owned | Point of Contact | Branch Phone | Branch Email | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| Total Percentage Of Seniority | , | | | | | | | | |
| Percentage Available For Us | | | | | | | | | |
| | | | | | | | | | |

Hold Back Next Save & Close Cancel

| Entity Details | | |
|---|---|-------------|
| Entity Name * | Percentage Owned * | |
| Enter Entity Name | Enter Percentage Owned | |
| Point of Contact * | | |
| Enter Point of Contact | | |
| Seniority | | |
| First | | |
| Branch Details | | |
| | | |
| | | OK Clear Ca |
| Entity Details | | OK Clear Ca |
| Entity Details Branch Details | Hanna 20-10 an an | OK Clear Ca |
| Entity Details Branch Details Branch Name * | House/Building * | OK Clear Ca |
| Entity Details Branch Details Branch Name * <i>Enter Name</i> | Enter Building Details | OK Clear Ca |
| Entity Details Branch Details Branch Name * <i>Enter Name</i> Street * | Enter Building Details Locality | OK Clear Ca |
| Entity Details Branch Details Branch Name * Enter Name Street * Enter Street Details | Enter Building Details Locality Enter Street Details | OK Clear Ca |
| Entity Details Branch Details Branch Name * <i>Enter Name</i> Street * <i>Enter Street Details</i> Landmark | Enter Building Details Locality Enter Street Details Area * | OK Clear Ca |
| Entity Details Branch Details Branch Name * Enter Name Street * Enter Street Details Landmark Enter Landmark | Enter Building Details Locality Enter Street Details Area * Enter Area | OK Clear Ca |
| Entity Details Branch Details Branch Name * Enter Name Street * Enter Street Details Landmark Enter Landmark City * | Enter Building Details Locality Enter Street Details Area * Enter Area State * | OK Clear Ca |
| Entity Details Branch Details Branch Name * Enter Name Street * Enter Street Details Landmark Enter Landmark City * Enter City | Enter Building Details Locality Enter Street Details Area * Enter Area State * Enter State | OK Clear Ca |
| Entity Details Branch Details Branch Name * Enter Name Street * Enter Street Details Landmark Enter Landmark City * Enter City Zip-Code * | Enter Building Details Locality Enter Street Details Area * Enter Area State * Enter State Country * | OK Clear Ca |
| Entity Details Branch Details Branch Name * Enter Name Street * Enter Street Details Landmark Enter Landmark City * Enter City Zip-Code * Enter Zip-Code | Enter Building Details Locality Enter Street Details Area * Enter Area State * Enter State Country * Select Country | OK Clear Ca |
| Street * Enter Street Details Landmark Enter Landmark City * Enter City Zip-Code * | Enter Building Details Locality Enter Street Details Area * Enter Area State * Enter State Country * | OK Clear Ca |

| Field Name | Description | Attribute Type | Object Type | Size | Mandatory/Optional | Field Valida- tion |
|------------------------|--|-------------------|----------------|------|--------------------|--|
| Seniority of Charge | Select the Seniority of Charge of Our bank | Input | Toggle | 1 | Mandatory | |
| Entity Name | Capture the name of the entity which has an exist- ing charge on the collateral | Input | Free Text | 255 | Mandatory | If the seniority of charge is Second or Third then the details of ex- isting charge of the collat- eral should be captured |
| Percentage Owned | Capture the percentage of Charge on the collateral | Input | Number | 22,3 | Mandatory | The total percentage owned by the existing charge hold- ers cannot be 100 |
| Seniority | Capture the Seniority of Charge of First & Sec- ond Charge Holder | Input | Toggle | 1 | Mandatory | |
| Point of Con- tact | Capture the Point of con- tact of the Charge hold- ing Entity | Input | Free Text | 25 | Mandatory | |
| Branch Name | Capture the Branch Name of the entity | Input | Free Text | 255 | Mandatory | |
| House/Building | Capture the Address of the entity | Input | Free Text | 255 | Mandatory | |
| Street | Capture the Address of the entity | Input | Free Text | 255 | Mandatory | |
| Locality | Capture the Address of the entity | Input | Free Text | 255 | Optional | |
| Landmark | Capture the Address of the entity | Input | Free Text | 255 | Optional | |
| Area | Capture the Address of the entity | Input | Free Text | 255 | Mandatory | |
| City | Capture the City of the entity | Input | Free Text | 255 | Mandatory | |
| State | Capture the | Input | Free | 255 | Mandatory | |

| | State of the entity | | Text | | | |
|---------------|---|-------|--------------|-----|-----------|--|
| Zip Code | Capture the Zip Code of the entity | Input | Free Text | 255 | Mandatory | |
| Country | Capture the Country of the entity | Input | LOV | 3 | Mandatory | |
| Email Address | Capture the Email ad- dress of the entity | Input | Free Text | 255 | Optional | |
| Phone Number | Capture the Phone Num- ber of the en- tity | Input | Free Text | 10 | Optional | |

Action Buttons on the Seniority of Charge Details

a. **View** – On click of View, the details of the selected existing charge of the collateral will be displayed.

b. Add – On Click of Add the details of the existing charge of the collateral can be captured.

c.Edit – On Click of Edit the details of the selected existing charge of the collateral will be displayed and you will be able to modify the same.

d. Delete – On Click of Delete system will ask for a confirmation message. On confirming the existing charge of the collateral will be deleted.

Action Buttons on the footer

- a. Save & Close On click of Save & Close, the details of the captured will be saved.
 - a. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.
 - b. If the Seniority of Charge is Second then the First Charge details should be captured else system will display an error message.
 - c. If the Seniority of Charge is Third then the First and Second Charge details should be captured else system will display an error message.
 - d. If the total charge percentage is equal than 100 then system will display an error message.
- b. **Hold** On Click of Hold the task status will be suspended and the task will be available in the Hold queue.
 - a. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.
 - b. If the Seniority of Charge is Second then the First Charge details should be captured else system will display an error message.
 - c. If the Seniority of Charge is Third then the First and Second Charge details should be captured else system will display an error message.
 - d. If the total charge percentage is equal than 100 then system will display an error message.

c. Cancel – On Click the system will ask for confirmation and on confirming the task will be closed without saving the data.

d. Back – On Click of Back, the previous screen will be opened.

e. Next – On click of Next, the details of the captured will be saved and then system will move to the Next Screen.

- e. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.
- f. If the Seniority of Charge is Second then the First Charge details should be captured else system will display an error message.
- g. If the Seniority of Charge is Third then the First and Second Charge details should be captured else system will display an error message.
- h. If the total charge percentage is equal than 100 then system will display an error message.

2.2.6Comments

| Field Name | Description | At- trib- ute Type | Ob- ject Type | Siz e | Mandato- ry/Optional | Field Validation |
|---------------|--|-----------------------------|---------------------|----------|-------------------------|------------------|
| Com- ments | Specify the com- ments for the stage. This will be visible in all the stages | Input | Free Text | 600 | Mandatory | |

Action Buttons

After providing required data, you will be able to perform one of the below actions -

- a) **Submit –** On Submit, the checklists applicable for the stage will be defaulted based on the application category. On Verifying all the checklist and on selection of the Outcome of the task the task will be submitted.
- b) Save & Close On click of Save & Close, the details of the captured will be saved.
 - a. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.
- c) **Hold** On Click of Hold the task status will be suspended and the task will be available in the Hold queue.
 - a. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.
- d) **Cancel** On Click the system will ask for confirmation and on confirming the task will be closed without saving the data.
- e) **Back** On Click of Back, the previous screen will be opened.

2.3 Data Enrichment

Menu→Task →Free task

(Screen)

Collateral Evaluation application is enriched by capturing the additional details of the Customer and the collateral. The Following details will be enriched.

Following details will be enriched as part of this stage.

- Basic Info with the additional Collateral Details
- Ownership details of the collateral
- Seniority of Charge Details of the collateral
- Collateral Type details
 - o Property
 - o Vehicle
 - o Ship
 - o Aircraft
 - o Insurance
 - o Deposits
 - Precious Metals
 - o Guarantee
 - Machine
 - \circ Stocks
 - \circ Bonds
 - Funds
- Collateral's Insurance Details
- Configuration
- Checklist
- Comments

2.3.1 Basic Info

The Collateral and Application details captured as part of Initiation will be defaulted and you will be able to modify the same. Based on the Application category selected the documents to be uploaded and the Checklists applicable for the stage will be defaulted to the Document Upload and Checklists screens.

| 😑 🍞 FuTura Bank | My Task | s | | | | m Ba | ank Futura - (004) 04/13/18 | | Anand |
|----------------------------|--------------|----------------|----------------------------|--|----------------|----------------------|-----------------------------|----------------------|----------------------|
| Collateral Evaluation - Da | ata Enrichme | ent | | | | | | i II Document | ts a st X |
| Basic Info | Collat | eral Ownership | Seniority Of Charge | | Machine | Preference Insurance | e Configuration D | etails Comr | ments |
| Basic Info | | | | | | | | | |
| Application Branch * | | Applicat | tion Category | | Application D | ate * | Available From | Date * | |
| Flexcube | * | Enter Aj | Enter Application Category | | 06/12/18 | 11 | 06/12/18 | | |
| Collateral Type * | | Collater | al Description * | | Collateral Cur | rency * | Owner Estimat | Owner EstimatedValue | |
| Machine | Ŧ | Machir | ne with REg000388492 | | GBP | GBP O | | £2,000,000.00 | |
| Is Insurance Available? | | Insuran | Insurance ExpiryDate | | Purpose Of Co | ollateral | Exposure Type | * | |
| \bigcirc | | mm/da | d/yy | | Enter Purpos | se Of Collateral | Industry × | Currency × | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |

Hold

Next

Save & Close

Cancel

| | | | | 1 | | |
|---------------------------|---|-------------------|----------------|------|--------------------|--|
| Field Name | Description | Attribute Type | Object Type | Size | Mandatory/Optional | Field Val- idation |
| Application Branch | System will de- fault the current branch and can be modified | Input | LOV | 3 | Mandatory | Open and Active Branch for the user has access can be se- lected |
| Application Date | System will de- fault the current application date. | Input | Date | | Mandatory | |
| Application Category | Select the Application Category | Input | LOV | 22 | Mandatory | Based on the appli- cation cat- egory se- lected the documents to be up- loaded and checklists applicable for the stage will be de- faulted |
| Collateral Type | Select the Col- lateral Type | Input | Drop Down | 22 | Mandatory | |
| Collateral Description | Specify the brief description of the Collateral | Input | Free Text | 255 | Optional | |
| Purpose of Collateral | Capture the pur- pose of collateral | Input | Free Text | 255 | Optional | |
| Collateral Currency | Key in the cur- rency or choose the currency from | Input | LOV | 3 | Mandatory | Open and Active Currencies |

| | the List of Values | | | | | can be se- lected |
|--------------------------------|---|-------|--------------|------|-----------|---|
| Owner Es- timated Value | Specify the owner estimated value of the collateral | Input | Amount | 22,3 | Mandatory | |
| Available From | Capture the Col- lateral Available From date | Input | Date | | Mandatory | |
| ls Insur- ance Available | Select if the In- surance is Avail- able | Input | Switch | | | |
| Insurance Expiry Date | Capture the In- surance Expiry Date | Input | Date | | | if Is Insur- ance Available is selected then In- surance Expiry date is manda- tory |
| Exposure Type | Select the Expo- sure Type | Input | Dropdown | 2 | Optional | |
| Charge Type | Select the Charge Type | Input | Dropdown | 2 | Optional | Possible values are Lien, Pledge |
| Applicable Business | Select the appli- cable business | Input | Check Box | 2 | | Trade, Working Capital and LT Trading |

Action Buttons on the footer

- a. Save & Close On click of Save & Close, the details of the captured will be saved.
 - a. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.
- b. **Hold** On Click of Hold the task status will be suspended and the task will be available in the Hold queue.
 - a. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.

c. Cancel – On Click the system will ask for confirmation and on confirming the task will be closed without saving the data.

d. Next – On click of Next, the details of the captured will be saved and then system will move to the Next Screen.

b. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.

2.3.2Ownership Details

Refer to Ownership Details section of Initiation Stage.

2.3.3Seniority of Charge Details

Refer to Seniority of Charge Details section of Initiation Stage.

2.3.4Collateral Types

Based on the Collateral Type selected the appropriate collateral Type screen will be available for the user to capture the details. Following are the various collateral types and its Field details.

2.3.4.1 Property

| Property | | | |
|--------------------------------------|----------------------------|--------------------------------|--------------|
| Registration Number * | Description * | Property Type * | Currency * |
| Enter registration number | | Enter property type | 0 |
| | Enter property description | | |
| Property Value * | Flood Zone | Flood Zone Type | Seismic Zone |
| | \bigcirc | Enter property flood zone type | \bigcirc |
| Seismic Zone Type | Purchase Date | Construction Date | |
| Enter property seismic zone type 🛛 🤟 | mm/dd/yy | mm/dd/yy | |
| Property Location | | | |
| Registration Number * | | House/Building * | |
| Enter Name | | Enter Building Details | |
| Street * | | Locality | |
| Enter Street Details | | Enter Street Details | |
| Landmark | | Area * | |
| Enter Landmark | | Enter Area | |
| City * | | State * | |
| Enter City | | Enter State | |
| Zip-Code * | | Country * | |
| Enter Zip-Code | | Select Country 🔻 | |
| ▶ Property Dimension | | | |

| Property | | | |
|------------------------------------|-----------------------------|---|---------------------------|
| Registration Number * | Description * | Property Type * | Currency * |
| Enter registration number | Enter property description | Enter property type | 0 |
| Property Value * | Flood Zone | Flood Zone Type Enter property flood zone type | Seismic Zone |
| Coloreira Zones Trans | Purchase Date | Construction Date | |
| Seismic Zone Type | | | |
| Enter property seismic zone type v | mm/dd/yy | mm/dd/yy | |
| Property Location | | | |
| Property Dimension | | | |
| Unit Code | Property Unit Value * | Area Of Land * | Number Of Stories |
| Enter unit code 💌 | | Enter area of land | Enter number of stories V |
| | | | |
| Number Of Garages | Total Dimension Length | Total Dimension Width | |
| Enter number of Garage 🗸 🔨 | Enter property dimensia 🗸 🔺 | Enter property dimensia 🗸 🔺 | |
| | | | |
| | | | |
| | | | |

| Field Name | Descrip- tion | Attrib- ute Type | Object Type | Size | Mandato- ry/Optional | Field Vali- dation |
|--------------------------|---|------------------------|----------------|------|-------------------------|-----------------------|
| Registration Num- ber | Specify the Registra- tion Num- ber of the property | Input | Free Text | 16 | Mandatory | |

| Property Type | User will select the type of property. | Input | Dropdow | | Mandatory | Possible values are Vacant Land , Agricultural Land, Residential Property, Industrial Property, Commercial Property and Under Construc- tion. |
|---------------------------|---|-------|--------------|----------|-----------|---|
| | Specify the | | | | | |
| Property Descrip- tion | description of the property | Input | Free Text | 200 0 | Mandatory | |
| Currency | User will select the currency of the prop- erty value. | Input | LOV | 3 | Mandatory | |
| | Specify the | | | | | |
| Property Value | property value | Input | Numeric | 22,3 | Mandatory | |
| Flood Zone | Select if the property is in the Flood Zone | Input | Switch | 1 | Optional | |
| Seismic Zone | Select if the property is in the Seismic Zone | Input | Switch | 1 | Optional | |
| Flood Zone Type | Select the Flood Zone Type if the property is in a Flood Zone | Input | Dropdow | 12 | Optional | |
| Seismic Zone Type | Select the Seismic Zone Type if the prop- erty is in a Seismic Zone | Input | Dropdow | 12 | Optional | Possible values are Low Dam- age Risk, Moderate Damage Risk, High Dam- age Risk, Highest Damage Risk. |

| Purchase Date | Specify the purchase date of the property | Input | Date | | Optional | |
|-------------------------------|--|---------|--------------|-----|-----------|---|
| Type of Crops | Specify the Type of Crops if the property type is Ag- riculture Land | Input | Dropdow n | 22 | Optional | Possible values are Cash Crops, Food Grains, Plantation Crops, Horticulture Crops |
| Construction Date | Specify the Construc- tion date of the prop- erty | Input | Date | | Optional | if property type is Residential Property or Industrial Property or Commercial Property or Under Con- struction |
| | ony | mput | Bato | | optional | Possible values are Agriculture and Allied |
| | Specify the Industry Type of the Property if the prop- erty type is Industrial | | Dropdow | | | Industry, Automobile Industry, Banking and Finan- cial Indus- try, Fishing In- |
| Type of Industry | property | Input | n | 22 | Optional | dustry etc, |
| Expected Comple- tion Date | Specify the expected completion Date of the Under Construc- tion Prop- erty | Input | Date | 8 | Optional | |
| Property Location | | | | | | ļ |
| Registration Num- | Displays the Regis- tration Number of the prop- erty | Display | Free Text | 16 | Mandatory | |
| House/Building | Specify the Address of the prop- | Input | Free Text | 255 | Mandatory | |

| I | erty | | | | | 1 |
|-------------------------|---------------------------|---------|--------------|------|--------------|-------------------|
| | | | | | | |
| | Specify the Address of | | | | | |
| | the prop- | | Free | | | |
| Street | erty | Input | Text | 255 | Mandatory | |
| | Specify the | | | | | |
| | Address of the prop- | | Free | | | |
| Locality | erty | Input | Text | 255 | Optional | |
| | Specify the | | | | | |
| | Address of | | Free | | | |
| Landmark | the prop- erty | Input | Text | 255 | Optional | |
| Landinant | Specify the | in p ut | 10/11 | 200 | | |
| | Address of | | | | | |
| Area | the prop- erty | Input | Free Text | 255 | Mandatory | |
| Alea | Specify the | Input | TEXL | 200 | Ivialiuatory | |
| | City of the | | Free | | | |
| City | property | Input | Text | 255 | Mandatory | |
| | Specify the State of the | | Free | | | |
| State | property | Input | Text | 255 | Mandatory | |
| | Specify the | | | | | |
| | Zip Code of | | Бира | | | |
| Zip Code | the prop- erty | Input | Free Text | 255 | Mandatory | |
| | | | | | | |
| | | | | | | |
| | Select the | | | | | |
| | Country of | | | | | |
| | the prop- | | Dropdow | _ | | |
| Country | erty | Input | n | 3 | Mandatory | |
| Property Dimen- sion | | | | | | |
| | | | | | | Possible |
| | | | | | | values are |
| | | | | | | Square Feet, |
| | | | | | | Square |
| | | | | | | Yards, |
| | Specify the | | Dropdow | | | Square Meters, |
| Unit Code | Unit code | Input | n | 22 | Optional | Acres |
| | Specify the | | | | | |
| Droporty Linit Value | Property | Incut | Numaria | 22.2 | Mondoton | |
| Property Unit Value | Unit value Specify the | Input | Numeric | 22,3 | Mandatory | |
| | Area of | | | | | |
| Area of Land | Land | Input | Numeric | 22 | Mandatory | |

| Number of stories | Specify the Number of Stories | Input | Numeric | 22 | Optional | for Resi- dential, Industrial, commercial and Under Con- struction Property |
|---------------------------|--|-------|---------|----|----------|--|
| Number of Garages | Specify the Number of | locut | Numeric | 22 | Optional | for Resi- dential, Industrial, commercial and Under Construc- tion Prop- |
| Number of Garages | Garages | Input | Numeric | 22 | Optional | erty for Resi- |
| Total Dimension Length | Specify the Total Di- mension in Length | Input | Numeric | 22 | Optional | dential, Industrial, commercial and Under Con- struction Property |
| | Length | Input | Numeric | 22 | Optional | for Resi- |
| Total Dimension Width | Specify the Total Di- mension in Width | Input | Numeric | 22 | Optional | dential, Industrial, Commercial and Under Con- struction Property |
| Floor Number | Specify the Floor Number | Input | Numeric | 22 | Optional | for Resi- dential, Industrial, Commercial and Under Con- struction Property |
| Dimension Length | Specify the Dimension Length of the Floor | Input | Numeric | 22 | Optional | for Resi- dential, Industrial, Commercial and Under Con- struction Property |
| Dimension Width | Specify the Dimension Width of the Floor | Input | Numeric | 22 | Optional | for Resi- dential, Industrial, Commercial and Under Con- |

| | | | | | | struction Property | |
|--|--|--|--|--|--|-----------------------|--|
|--|--|--|--|--|--|-----------------------|--|

2.3.4.2 Vehicle

| Vehic | le | | | | |
|-------|---------------------|--------------|---------------------|------------------|---------------|
| | Refresh Add View | Edit Delete | | | |
| | Registration Number | Vehicle Type | Vehicle Description | Invoice Currency | Invoice Value |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

| Registration Number * | Vehicle Type * |
|----------------------------|----------------------------------|
| Enter Registration Number | Enter Vehicle Type |
| New Vehicle | Model * |
| \bigcirc | Enter Model Details |
| Manufactured Year * | Make * |
| Enter Manufactured Ye, 🗸 🔨 | Enter Make Details |
| Engine Type * | Engine Number |
| Enter Engine Type 🔹 | Enter Engine Number |
| Chassis Number * | Vin Number |
| Enter Chassis Number | Enter Vin Number |
| Owner * | Registration Authority * |
| Enter Owner Details | Enter Registration Authority |
| ▲ Invoice Details | |
| Invoice Currency * | Invoice Value * |
| ٩, | |
| Resale Value | Description |
| | Brief description of the Vehicle |
| Invoice Currency * | Description |

| Field Name | Description | Attrib- ute Type | Object Type | Size | Mandato- ry/Optional | Field Val- idation |
|--------------|-----------------------------|------------------------|-------------|------|-------------------------|-----------------------|
| Registration | Specify the Registration | | | | | |
| Number | Number | Input | Text | 16 | Mandatory | |

| Vehicle Type | Select the Vehicle Type from the dropdown | Input | Drop down | 22 | Mandatory | Possible values are Commer- cial Vehi- cle, Pas- senger Vehicle |
|---------------------------------|---|-------|-------------------|-----|-----------|---|
| | Specify whether the vehicle is | | | | | |
| Is New Vehicle | New Specify the | Input | switch | 1 | Optional | |
| Model | Model of the vehicle | Input | Text | 16 | Mandatory | |
| Manufactured Year | Specify the Manufac- tured Year | Input | Number | 4 | Mandatory | |
| Make | Specify the Make of the vehicle | Input | Text | 255 | Mandatory | |
| Marc | Specify Ve- | input | TCAL | 200 | Mandatory | |
| Model | hicle Model | Input | Free Text | 105 | Mandatory | Dessible |
| Engine Type | Select the Engine Type from the dropdown. | Input | Drop down | 22 | Mandatory | Possible values are Petrol, Diesel, Electric |
| Chassis Num- ber | Specify the Chassis Number | Input | Text | 16 | Mandatory | |
| Engineer Number | Specify the Engineer Number | Input | Text | 16 | Optional | |
| VIN Number | Specify the Vehicle Identification Number | Input | Alphanu- meric | 22 | Optional | |
| Owner | Specify ve- hicle owner details | Input | Free Text | 105 | Mandatory | |
| Registration Authority | Specify de- tails of reg- istration au- thority with whom vehi- cle is regis- tered | Input | Free Text | 105 | Mandatory | |
| Passenger Ve- hicle Sub Type | Specify the Passenger Vehicle Sub Type | Input | Drop down | 22 | Optional | Possible values are Commer- cial Vehi- cle, Pas- senger Vehicle |

| | Specify the | | | | | |
|---------------------------------|-------------------------------|---------|------------|----------|-----------|-----------------------|
| Passenger Ve- | Passenger Vehicle | | | | | |
| hicle Purpose | Purpose | Input | Text | 255 | Optional | |
| | Specify the | | | | | |
| | Passenger Vehicle Ca- | | | | | |
| Passenger Ve- hicle Capacity | pacity | Input | Number | 8 | Optional | |
| | | | | | | Possible |
| | | | | | | values are |
| | Specify the | | | | | Commer- cial Vehi- |
| commercial | commercial | | | | | cle, Pas- |
| Vehicle Sub | Vehicle Sub | Instant | Deservices | 00 | Ontinual | senger |
| Туре | Type Specify the | Input | Drop down | 22 | Optional | Vehicle |
| Commercial | Commercial | | | | | |
| Vehicle Pur- | Vehicle | | | | | |
| pose | Purpose | Input | Text | 255 | Optional | |
| Commercial | Specify the Commercial | | | | | |
| Vehicle Capac- | Vehicle Ca- | | | | | |
| ity | pacity | Input | Number | 8 | Optional | |
| commercial | Specify the commercial | | | | | |
| Vehicle Unit of | Vehicle Unit | | | | | |
| Capacity | of Capacity | Input | Text | 22 | Optional | |
| Invoice De- tails | | | | | | |
| | Select the | | | | | |
| Invoice cur- | Currency from the | | | | | |
| rency | LOV | Input | LOV | 3 | Mandatory | |
| | Specify the | | | | | |
| | invoice value of the vehi- | | | | | |
| Invoice value | cle | Input | Numeric | 22,3 | Mandatory | |
| | Specify the | | | -,- | ····· | |
| | resale value | | | | | |
| Resale value | of the vehi- cle | | | | Optional | |
| | Specify the | | | | | |
| | description | | | | | |
| Description | of the vehi- cle | Input | Free Text | 200 0 | Ontional | |
| Description | | Input | | U | Optional | |

2.3.4.3 Ship

| | hip Name | No Of Ship Decks | Manufacturer Name | Ship License Number | | | |
|-------------------------------|----------------|------------------|-------------------|---------------------|-------------|---------------------|--|
| | | | | | | | |
| | | | | | | | |
| | | | | | | Invoice Total Value | |
| _ | | | | | | | |
| Details | | | | | | | |
| | | | | | | | |
| Basic Inf | | | | | | | |
| | 0 | | | | | | |
| Name * | | | | Description | | | |
| Enter Nam | e | | | Enter Descrip | otion | | |
| Type * | | | | Location | | | |
| Select Type | e | - | | Select Locatio | on 💌 | | |
| Condition | | | | Number of De | ecks * | | |
| Select Con | dition | v | | Enter Numbe | er of Decks | | |
| Invoice Curr | rency * | | | Invoice Value | | | |
| | | 0 | | | | | |
| Manufactur | er Name * | | | Manufactured | Date * | | |
| Enter Man | ufacturer Name | | | mm/dd/yy | 1 | | |
| License Nur | nber * | | | License Details | s | | |
| Enter Licen | nse Number | | | Enter License | Details | | |
| | | | | | | | |
| Chin Din | nensions | | | | | | |
| Ship Diri | | | | | | | |
| snip Din | | | | | | | |
| Ship Tor | nage | | | | | | |

| Details | | |
|--------------------------------|------------------------------|-----------|
| | | |
| ▶ Basic Info | | |
| ▲ Ship Dimensions | | |
| Dimensions Unit * | | |
| | Length * | |
| Select Dimensions Unit | Enter Length | |
| Beam | Height * | |
| Enter Beam | Enter Height | |
| Displacement Unit * | Displacement * | |
| Select Displacement Unit | Enter Displacement | |
| | | |
| Ship Tonnage | | |
| Size | Deadweight Tonnage | |
| Select Size 💌 | Enter Deadweight Tonnage | |
| Gross Registered Tonnage | Net Registered Tonnage | |
| Enter Gross Registered Tonnage | Enter Net Registered Tonnage | |
| | | |
| | | |
| | | |
| | | |
| | OK | Clear Can |
| | | |

| Field Name | Description | Attribute Type | Object Type | Size | Mandatory/Optional | Field Valida- tion |
|-------------|-------------------------------------|-------------------|----------------|------|--------------------|--|
| Basic Info | | | | | | |
| Name | Specify the Name of the Ship | Input | Free Text | 22 | Mandatory | |
| Description | Specify the description of the ship | Input | Free Text | 250 | Optional | |
| | Select the Type of the | | Drop | | | Possible val- ues are General Cargo Ves- sels, Container Ship, Dry bulk car- riers, Multi-Purpose |
| Туре | Ship | Input | down | 22 | Mandatory | Vessels etc, |

| Location | Select the location of the ship | Input | Drop down | 3 | Optional | |
|-----------------------|---|-------|--------------|------|-----------|---|
| | Select the condition of | | Drop | | | |
| Condition | the Ship | Input | down | 22 | Optional | |
| Number of Decks | Specify the Number of Decks | Input | Numeric | 8 | mandatory | |
| Invoice Cur- rency | Select the Currency from the LOV | Input | LOV | 3 | Mandatory | |
| Invoice Value | Specify the Invoice value of the Ship | Input | Numeric | 22,3 | Mandatory | |
| Manufacturer Name | Specify the Manufacturer Name | Input | Free Text | 250 | Mandatory | |
| Manufactured Date | Specify the manufactured date | Input | Date | | Mandatory | |
| License Number | Specify the License Number | Input | Numeric | 8 | Mandatory | |
| License De- tails | Specify the license de- tails | Input | Free Text | 250 | Optional | |
| Ship Dimen- sions | | | | | | |
| Dimension Unit | Select the dimension unit | Input | Drop down | 22 | Mandatory | Possible val- ues are Feet , Meters |
| Length | Specify the length of the ship | Input | Numeric | 8 | Mandatory | |
| Beam | Specify beam of the ship | Input | Numeric | 8 | Optional | |
| Height | Specify the height of the ship | Input | Numeric | 8 | Mandatory | |
| Displacement Unit | Select the displacement unit of the ship | Input | Drop down | 22 | Mandatory | Possible val- ues are Tons, Metric Tons |
| Displacement | Specify the displacement of the ship | Input | Numeric | 8 | Mandatory | |
| Ship Ton- nage | | | | | | |

| Size | Select the size of the ship | Input | Drop down | 22 | Optional | Possible val- ues are Aframax , Capesize, Chinamax, Handymax |
|------------------------------------|---|-------|--------------|----|----------|---|
| | Specify the deadweight | | | | | |
| Deadweight | tonnage of | | | | | |
| Tonnage | the ship | Input | Numeric | 8 | Optional | |
| Gross Reg- istered Ton- nage | Specify the gross regis- tered tonnage of the ship | Input | Numeric | 8 | Optional | |
| | Specify the | · | | | | |
| Net Regis- | Net Regis- | | | | | |
| tered Ton- | tered Ton- | | | | | |
| nage | nage | Input | Numeric | 8 | Optional | |

2.3.4.4 Aircraft

| raft | | | | | |
|------|-------------------------------------|---------------|----------------|---------------|----------------|
| | Refresh Add View Edit Delete | | | | |
| | Registration Number | Engine Number | License Number | Invoice Value | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | Net Invoi | ce Value: 0 |
| | | | | | |
| | | | | | |
| | | | | | |

| Enter Registration Number Invoice Currency * Country * | mm/dd/yy Invoice Value | * | |
|--|---------------------------|-----|--|
| Q. | Invoice Value | * | |
| | | | |
| Country * | | | |
| | | | |
| Select Location | | | |
| icense Number * | License Details | s * | |
| Enter License Number | | | |
| | | | |
| ► Aircraft Base Details | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |

| lodel * Inter Model ngine Number * | |
|--|--|
| Enter Model Igine Number * | |
| Enter Model Igine Number * | |
| ngine Number * | |
| | |
| Enter Model | |
| | |
| | |
| | |
| | |
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| | |
| | |
| | |
| | |

| Field Name | Description | Attribute Type | Object Type | Size | Mandatory/Optional | Field Val- idation |
|--------------------------|--|-------------------|----------------|------|--------------------|-----------------------|
| Basic Info | | | | | | |
| Registration Number | Specify the Registration Number | Input | Text | 16 | Mandatory | |
| Issued Date | Specify the Issued Date | Input | Date | | Optional | |
| Invoice cur- rency | Select the Currency from the LOV | Input | LOV | 3 | Mandatory | |
| Invoice Value | Specify the Invoice Value | Input | numeric | 22,3 | | |
| Country | Select the Country | Input | Dropdown | 3 | Mandatory | |
| License Number | Specify the License Number | Input | Text | 16 | Mandatory | |
| License De- tails | Specify the License De- tails | Input | Text | 255 | Mandatory | |
| Aircraft Base Details | | | | | | |

| Aircraft Type | Select the Aircraft Type | Input | Drop down | 22 | Mandatory | Possible values are Business Jet, Helicopter, Single En- gine Pis- ton, Multi En- gine Pis- ton, Turboprop |
|----------------------|-------------------------------------|-------|--------------|-----|-----------|---|
| Manufacturer Name | Specify the Manufacturer Name | Input | Text | 255 | Mandatory | |
| Model | Specify the Model | Input | Text | 255 | Mandatory | |
| Model Year | Specify the Model Year | Input | Number | 4 | Mandatory | |
| Engine Number | Specify the Engine Num- ber | Input | Text | 16 | Mandatory | |
| Number of Seats | Specify the Number of Seats | Input | Number | 4 | Optional | |

2.3.4.5 Machine

| Machine | | | | | |
|---------|---|--------------------------------|---------------|------------------|---------------------|
| | Refresh Add View Machine Serial Number | Edit Delete Machine Details | Industry Type | Invoice Currency | Invoice Value |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | Total InvoiceValue: |

| chine Details | |
|----------------------------|-------------------------|
| Basic Details | |
| Serial Number * | Manufacturer Name * |
| Enter Serial Number | Enter Manufacturer Name |
| Machine Details * | Industrial Type |
| Enter Machine Details | v |
| Manufactured Year * | Purchased Date * |
| Enter Manufactured Ye. 🗸 🔨 | mm/dd/yy |
| Quantity * | Invoice Currency * |
| select the quantity | 0 |
| Invoice Value * | Written Down Value * |
| | |
| Assessed Value * | Replacement Value |
| | |
| Machine Condition | Intended Use * |
| | Enter Intended Value |
| | |
| ▶ Type Details | |
| | |
| ▶ Location Details | |
| | |
| | |
| | OK Clear Cano |

| hine Details | |
|-----------------------------------|-------------------------------------|
| | |
| ▶ Basic Details | |
| ▲ Type Details | |
| Machine Model No * | Machine Type * |
| Enter Machine Model No | Enter Machine Type |
| Type Of Raw Material Used * | Units Production Per Hour |
| Enter Type of Raw Material Used 🔻 | Enter No Of Units Produced |
| Per Unit Production Cost | TurnOver that can be Achieved |
| Enter Per Unit Production Cost | Enter TurnOver that can be Achieved |
| ▲ Location Details Machine * | House/Building * |
| Enter Name | Enter Building Details |
| Street * | Locality |
| Enter Street Details | Enter Street Details |
| Landmark | Area * |
| Enter Landmark | Enter Area |
| City * | State * |
| Enter City | Enter State |
| Zip-Code * | Country * |
| | Colort Country |

| Field Name | Descrip- tion | Attrib- ute Type | Object Type | Siz e | Mandato- ry/Optional | Field Validation |
|------------------------|--|------------------------|----------------|----------|-------------------------|--|
| Basic De- tails | | | | | | |
| Serial Number | Specify the Serial Number | Input | Text | 16 | Mandatory | |
| Manufac- turer Name | Capture the Manu- facturer Name | Input | Free Text | 25 5 | Mandatory | |
| Machine Details | Capture the Ma- chine De- tails | Input | Free Text | 25 5 | Mandatory | |
| Industrial Type | Capture the Indus- trial Type | Input | Drop down | 22 | Optional | Possible values are Agriculture and Allied Industry, Automobile Industry, Banking and Financial Industry , Fishing Industry etc, |
| Manufac- tured Year | Capture the Manu- factured Year | Input | Numer- ic | | Mandatory | |

| | Capture | | | | | |
|----------------------|--------------------------|---------|--------------|---------|-------------|-------------------------------|
| Purchase | the Pur- chase | | | | | |
| Date | Date | Input | Date | | Mandatory | |
| | Capture | | | | , | |
| Owentites | the Quan- | Lawrent | Numer- | | Manualatana | |
| Quantity | tity | Input | ic | 8 | Mandatory | |
| | Capture | | | | | Possible values are |
| Invoice | the Invoice | loout | LOV | 3 | Mandatory | GBP,CAS,BAS,USD,IN |
| Currency | Currency Capture | Input | | 3 | wanualory | R,AUD |
| Invoice | the Invoice | | Numer- | 22, | | |
| Value | Value | Input | ic | 3 | Mandatory | |
| | Capture | | | | | |
| Written | the Written down Val- | | Numer- | 22, | | |
| down Value | ue | Input | ic | 3 | Mandatory | |
| | Capture | | | | | |
| | the As- | | | | | |
| Assessed | sessed | lanut | Numer- | 22, | Mandatawi | |
| Value | Value Capture | Input | ic | 3 | Mandatory | |
| | the Re- | | | | | |
| Replace- | placement | | Numer- | 22, | | |
| ment Value | Value | Input | ic | 3 | Optional | |
| | Capture | | | | | |
| Machine | the Ma- chine con- | | Drop | | | |
| condition | dition | Input | down | 22 | Optional | |
| | Capture | • | | | · · | |
| | the In- | | | | | |
| Intended Use | tended Use | Input | Free Text | 25 5 | Mandatory | |
| Type De- | 036 | mput | TEXL | 5 | Manualory | |
| tails | | | | | | |
| | Capture | | | | | |
| Marshina | the Ma- | | | | | |
| Machine Model | chine Model | | Free | | | |
| Number | Number | Input | Text | 16 | Mandatory | |
| | Capture | | | | | Possible values are |
| Machine | the Ma- | | Drop | | | Automatic, |
| Туре | chine Type | Input | down | 22 | Mandatory | Semi - Automatic |
| | | | | | | Possible values are Glass, |
| | | | | | | Steel, |
| | | | | | | Wood, |
| Tam (| Capture | | | | | Iron, |
| Type of Raw mate- | the type of Raw mate- | | Drop | | | Alloy, Plastic, |
| rial Used | rial Used | Input | Drop down | 22 | Mandatory | Fiber |
| Units pro- | Capture | | | | | |
| duction per | the Units | | Numer- | | | |
| hour | production | Input | ic | 8 | Optional | |

| | per hour | | | | | |
|---|---|---------|--------------|----------|-----------|--|
| Per Unit Production Cost | Capture the Per Unit Pro- duction Cost | Input | Numer- ic | 22, 3 | Optional | |
| Turnover that can be achieved Location | Capture the Turn- over that can be achieved | Input | Numer- ic | 22, 3 | Optional | |
| Details | | | | | | |
| Machine | | Display | | | Mandatory | |
| House/Build ing | Capture the Ad- dress | Input | Free Text | 25 5 | Mandatory | |
| Street | Capture the Ad- dress | Input | Free Text | 25 5 | Mandatory | |
| Locality | Capture the Ad- dress | Input | Free Text | 25 5 | Optional | |
| Landmark | Capture the Ad- dress | Input | Free Text | 25 5 | Optional | |
| Area | Capture the Ad- dress | Input | Free Text | 25 5 | Mandatory | |
| City | Capture the City | Input | Free Text | 25 5 | Mandatory | |
| State | Capture the State | Input | Free Text | 25 5 | Mandatory | |
| Zip Code | Capture the Zip Code | Input | Free Text | 25 5 | Mandatory | |
| Country | Capture the Coun- try | Input | Dropdo wn | 3 | Mandatory | |

2.3.4.6 Bonds

| 7 Fu | uTura Ba | nk My Tasks | | | | | 1 In-Country (004) | 05/17/18 | | Jayshree |
|-------------|-----------------|---------------------|----------------------|--------------------------|-----------------|----------------------|-------------------------|-----------|--------------|-----------|
| ateral E | Evaluation | - Data Enrichment | | | | | | | Documents | x^{*} × |
| | © Basic Info | | Collateral Ownership | © Seniority Of Charge | Bond | Preference Insurance | Configuration De | | Comments | - |
| | | | | | Sona | | Sorngaladon De | | | |
| | | Refresh Add | View Edit Delete | | | | | | | |
| | | Folio Number | Security Code | Interest Amount | Maturity Amount | Bond Rating | Bond Tenure (In months) | | | |
| | | FL0123007 | 008 | 123123 | 123123123 | 3 | 43 | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | Pag | e 1 of1 (1 of1 iter | ms) K < 1 > > | | | | | | | |
| Bond An | nount£123, | 246.246.00 | | | | | | | | |
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| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | Hold | Back Next | Save & Close | Cancel |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| ond [| Details | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | 1 Inve | stment Secu | urity Details | | | | | | | |
| - | | | | | | . * | | | | |
| | Folio Nu | | | | Security Co | | | | | |
| | | olio Number | | | Enter Secu | | | | | |
| | | ssuer Name * | | | | ence Number * | | | | |
| | | ecurity Issuer Na | ame | | Enter Issue | r Reference Number | | | | |
| | | Description | | | | | | | | |
| | Enter Se | curity Descripti | ion | | | | | | | |
| | | | | | | | | | | |
| | Bon | d Details | | | | | | | | |
| - | | | | | | . * | | | | |
| E | Bond Cu | rrency * | | | Bond Amou | nt ~ | | | | |
| | | | 0 | | | | | | | |
| | | Payout Frequen | | | Interest Per | | | | | |
| | | terest Payout Fi | requency v | | 0% | ~ ^ | | | | |
| I | nterest / | Amount * | | | Maturity Ar | iount * | | | | |
| | | | | | | | | | | |
| | Maturity | | | | Bond Ratin | | | | | |
| | mm/dd | | <u></u> | | *** | XX | | | | |
| E | Bond Ter | nure (In months | ;) * | | Last Traded | Value | | | | |
| | Enter B | ond Tenure | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | OK | Clear Ca | ncel |
| | | | | | | | | | | |

| Field Nam | Description | Attribute | Object | Size | Mandatory/Optional | Field Valida- |
|-----------|-------------|-----------|--------|------|--------------------|---------------|
|-----------|-------------|-----------|--------|------|--------------------|---------------|

| | | Туре | Туре | | | tion |
|---------------------------------|--|-------|----------|------|-----------|---|
| | | | | | | |
| Folio | Capture the | | | | | |
| Number | Folio Number | Input | Text | 16 | Mandatory | |
| Security Code | Capture the Security Code | Input | Text | 16 | Mandatory | |
| _ | Capture the | | | | , | |
| Security Issue Name | Security Is- sue Name | Input | Text | 255 | Mandatory | |
| Issuer Ref- erence | Capture the Issuer Ref- erence | | | | | |
| Number | Number | Input | Text | 16 | Mandatory | |
| Security Description | Capture the Security De- scription | Input | Text | 255 | Optional | |
| Bond De- | 301121011 | mput | TCAL | 200 | | |
| tails | Select the | | | | | |
| Bond Cur- rency | Currency from the LOV | Input | LOV | 3 | Mandatory | |
| Bond Amount | Capture the Bond Amount | Input | Numeric | 22,3 | Mandatory | |
| Interest Payout Frequency | Capture the Interest Payout Fre- quency | Input | Dropdown | 22 | Optional | Possible values are Quarterly, Monthly, Half yearly, Annually, Semi-Annual, Weekly |
| Interest percentage | Capture the Interest per- centage | Input | Numeric | 22,3 | Optional | |
| Interest Amount | Capture the Interest Amount | Input | Numeric | 22,3 | Mandatory | |
| Maturity Amount | Capture the Maturity Amount | Input | Numeric | 22,3 | Mandatory | |
| maturity Date | Capture the maturity Date | Input | date | | Mandatory | |
| Bond Rat- ing | Capture the Bond Rating | Input | Rating | | Optional | |
| Bond Ten- ure | Capture the Bond Tenure | Input | Text | 16 | Mandatory | |
| Last Traded Value | Capture the Last Traded Value | Input | Numeric | 22,3 | Optional | |
| Total Bond Amount | Capture the Total Bond | Input | Numeric | 22,3 | Mandatory | |

| | | _ |
|--------|------|---|
| Amount | | |
| Anount | | |
| | | |
| | | |

2.3.4.7 Funds

| Fund | | | | | | | | |
|---------------------|------|------------------------------|---------------|-----------|-------------|-----------------|------------|--|
| | | Refresh Add View Edit Delete | | | | | | |
| | | Folio Number | Security Code | Fund Name | Scheme Name | Number Of Units | Unit Value | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| otal Fund Amount\$0 | 0.00 | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |

| Folio Number * | Security Code * | |
|----------------------------|-------------------------------|--|
| Enter Folio Number | Enter Security Code | |
| Security Issuer Name * | Issuer Reference Number * | |
| Enter Security Issuer Name | Enter Issuer Reference Number | |
| Security Description | | |
| Fund Details | | |
| Fund Name * | Fund Category | |
| Enter Fund Name | Enter Fund Category | |
| Scheme Name | Unit Currency * | |
| Enter Scheme Name | 0 | |
| Unit Value * | Number Of Units * | |
| | Enter Number Of Units 🔻 🔨 | |
| Total Fund Amount | 0 | |
| \$0.00 | | |

| Field Name | Description | Attribute Type | Object Type | Size | Mandatory/Optional | Field Val- idation |
|-------------------|------------------------------|-------------------|----------------|------|--------------------|-----------------------|
| | | | | | | |
| Folio Num- ber | Capture the Folio Number | Input | Text | 16 | Mandatory | |
| Security Code | Capture the Security Code | Input | Text | 16 | Mandatory | |

| 1 | I | 1 | 1 | | I | 1 1 |
|-------------------|------------------------------|-------|----------|------|----------------|------------------|
| | Capture the | | | | | |
| Security Is- | Security Issue | last | Taut | 055 | Manalataw | |
| sue Name | Name | Input | Text | 255 | Mandatory | |
| Issuer Ref- | Capture the Issuer Refer- | | | | | |
| erence Number | ence Number | Input | Text | 16 | Mandatory | |
| Number | | input | Text | 10 | Inalia da lory | |
| | Capture the | | | | | |
| Security | Security De- | | | | | |
| Description | scription | Input | Text | 255 | Optional | |
| Fund De- tails | | | | | | |
| Fund Name | Capture the Fund Name | loout | Text | 256 | Mandatory | |
| Fullu Malle | Fund Name | Input | Техі | 200 | Inalitiatory | Possible |
| | | | | | | values are |
| | | | | | | Fixed in- |
| | | | | | | come |
| | | | | | | Funds, |
| | | | | | | Equity |
| | | | | | | Funds, |
| | | | | | | Balanced |
| | | | | | | Funds |
| | | | | | | ,Money Market |
| | Capture the | | | | | Funds, |
| Fund Cate- | Fund Catego- | | | | | Index |
| gory | ry | Input | Dropdown | 22 | Mandatory | Funds |
| | Capture the | | • | | | |
| Scheme | Scheme | | | | | |
| Name | Name | Input | Text | 255 | Optional | |
| | Select the | | | | | |
| Unit Cur- | Currency from | _ | | | | |
| rency | the LOV | Input | LOV | 3 | Mandatory | |
| | Capture the | | | | | |
| Unit Value | Unit Value | Input | Numeric | 22,3 | Mandatory | |
| | Capture the | | | | | |
| Quantity | Quantity | Input | Numeric | 8 | Mandatory | |
| ĺ Í | Capture the | | | | · · | |
| Total Fund | Total Fund | | | | | |
| Amount | Amount | Input | Numeric | 22,3 | Mandatory | |

2.3.4.8 Stocks

| Stock | | Refresh Add View | Edit Delete | | | | |
|----------------------|------|------------------|---------------|------------|-----------------|--------------|----------------|
| | | Folio Number | Security Code | Unit Value | Number Of Units | Brokerage Id | Brokerage Name |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| Total Stocks Amounts | 0.00 | | | | | | |
| | | | | | | | |

| * | | |
|----------------------------|-------------------------------|--|
| Folio Number * | Security Code * | |
| Enter Folio Number | Enter Security Code | |
| Security Issuer Name * | Issuer Reference Number * | |
| Enter Security Issuer Name | Enter Issuer Reference Number | |
| Security Description | | |
| Enter Security Description | | |
| Brokerage Id * | Brokerage Name | |
| Enter Brokerage Id | Enter Brokerage Name | |
| Unit Currency * | Unit Value * | |
| Q | | |
| Number Of Units * | Total Stock Amount | |
| Enter Number Of Units V | \$0.00 | |
| | | |

| Field Name | Description | Attribute Type | Object Type | Size | Mandatory/Optional | Field Val- idation |
|---------------------------------|---|-------------------|----------------|------|--------------------|-----------------------|
| | | | | | | |
| Folio Num- ber | Capture the Folio Number | Input | Text | 16 | Mandatory | |
| Security Code | Capture the Security Code | Input | Text | 16 | Mandatory | |
| Security Is- sue Name | Capture the Security Issue Name | Input | Text | 255 | Mandatory | |
| Issuer Ref- erence Number | Capture the Issuer Refer- ence Number | Input | Text | 16 | Mandatory | |

| Security Description | Capture the Security De- scription | Input | Text | 255 | Optional |
|-------------------------|--|-------|---------|------|-----------|
| Stock De- tails | | | | | |
| Brokerage Id | Capture the Brokerage Id | Input | Text | 16 | Mandatory |
| Brokerage Name | Capture the Brokerage Name | Input | Text | 255 | Optional |
| Unit Cur- rency | Select the Currency from the LOV | Input | LOV | 3 | Mandatory |
| Unit Value | Capture the Unit Value | Input | Numeric | 22,3 | Mandatory |
| Quantity | Capture the Quantity | Input | Numeric | 6 | Mandatory |
| Total Stock Amount | Capture the Total Stock Amount | Input | Numeric | 22,3 | Optional |

2.3.4.9 Deposits

| eposits | | | | | |
|---------|------------------------|-------------------|---------------------|------------------|----------------|
| | Refresh Add View E | dit Delete | | | |
| | Deposit Account Number | Deposit Bank Name | Deposit Branch Name | Deposit Currency | Deposit Amount |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
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| | | | | | |
| | | | | | |

| Deposit Account Number * | Account Type * | | |
|------------------------------|----------------------|---|--|
| Enter Deposit Account Number | Enter Account Type | T | |
| Deposit Currency * | Deposit Amount * | | |
| ٩, | | | |
| Deposit Balance | Interest Type | | |
| | Enter Interest Type | Ŧ | |
| Rate Of Interest | Maturity Amount | | |
| Enter Rate Of Interest 🗸 🔨 | | | |
| Maturity Date | Deposit Status | | |
| mm/dd/yy | Enter Deposit Status | Ŧ | |
| s AutoRenewal | Next Renewal Date | | |
| | mm/dd/yy | | |
| Deposit Branch Details | | | |
| Deposit Lien Details | | | |
| | | | |
| | | | |

| posit Details | |
|-----------------------------|------------------------|
| | |
| Basic Details | |
| ▲ Deposit Branch Details | |
| Bank Name | |
| Enter Bank Name | |
| Deposit Branch Name * | House/Building * |
| Enter Name | Enter Building Details |
| Street * | Locality |
| Enter Street Details | Enter Street Details |
| Landmark | Area * |
| Enter Landmark | Enter Area |
| City * | State * |
| Enter City | Enter State |
| Zip-Code * | Country * |
| Enter Zip-Code | Select Country 💌 |
| Deposit Lien Details | |
| Lien Reference Number | Lien Status |
| Enter Lien Reference Number | Enter Lien Status |
| Lien Amount | Lien Date |
| | mm/dd/w |
| | OK Clear Can |

| Field Name | Descrip- tion | Attrib- ute Type | Object Type | Siz e | Mandato- ry/Optional | Field Vali- dation |
|-----------------------------|---|---------------------|----------------|----------|-------------------------|---|
| Basic Details | | | | | | |
| Deposit Ac- count Number | Capture the Deposit Account Number | Input | Text | 16 | Mandatory | |
| Account Type | Capture the Account Type | Input | Drop down | 22 | Mandatory | Possible values are Term Depos- it, Recurring Deposit, Fixed De- posit |
| Deposit Cur- rency | Capture the Deposit Currency | Input | Drop down | 3 | Mandatory | |
| Deposit Amount | Capture the Deposit Amount | Input | numeric | 22,3 | Mandatory | |
| Deposit Bal- ance | Capture the Deposit Balance | Input | numeric | 22,3 | Optional | |
| Interest Type | Capture the Interest Type | Input | Drop down | 22 | Optional | Possible values are Quarterly, Monthly, |

| | | | | | | Half yearly, Annually, Semi-Annual |
|--------------------------------|---------------------------------------|-------|--------------|------|-----------|--|
| | | | | | | , Weekly |
| Rate Of Inter- est | Capture the Rate Of In- terest | Input | numeric | 22,3 | Optional | |
| Maturity Amount | Capture the Maturity Amount | Input | numeric | 22,3 | Optional | |
| Maturity Date | Capture the Maturity Date | Input | date | | Optional | |
| Deposit Status | Capture the Deposit Status | Input | Drop down | 22 | Optional | Possible values are Deposit proposed, Deposit Ac- tive, Deposit Par- tially Re- deemed |
| | Capture the | | | | | |
| Is Auto Re- newal | Is Auto Renewal | Input | switch | 1 | Optional | |
| Next Renewal Date | Capture the Next Re- newal Date | Input | date | | Optional | |
| Deposit Branch De- tails | | | | | | |
| Bank Name | Capture the Bank Name | Input | Text | 255 | Optional | |
| Deposit Branch Name | Capture the Branch Name | Input | Text | 255 | Mandatory | |
| House/Buildin g | Capture the Address | Input | Free Text | 255 | Mandatory | |
| | Capture the | • | | | | |
| Street | Address | Input | Free Text | 255 | Mandatory | |
| Locality | Capture the Address | Input | Free Text | 255 | Optional | |
| Landmark | Capture the Address | Input | Free Text | 255 | Optional | |
| Area | Capture the Address | Input | Free Text | 255 | Mandatory | |
| City | Capture the City | Input | Free Text | 255 | Mandatory | |
| State | Capture the State Capture the | Input | Free Text | 255 | Mandatory | |
| Zip Code | Zip Code | Input | Free Text | 255 | Mandatory | |

| Country | Capture the Country | Input | Dropdow n | 3 | Mandatory | |
|----------------------------|--|-------|--------------|------|-----------|---|
| Deposit Lien Details | | | | | | |
| Lien Refer- ence Number | Capture the Lien Ref- erence Number | Input | Text | 16 | Optional | |
| Lien Status | Capture the Lien Status | Input | Drop down | 22 | Optional | Possible values are Lien Re- leased, Lien Marked Requested, Release Lien Requested, Lien Marked |
| Lien Amount | Capture the Lien Amount | Input | numeric | 22,3 | Optional | |
| Lien Date | Capture the Lien Date | Input | date | | Optional | |

2.3.4.10 Guarantee

| Guarantee | | | | | | |
|---------------------------------------|---|-------------------------|------------------------|---|--------------------|---------|
| ▲ Guarantee Details | | | | | | |
| Reference Number * | | Applicable Place * | Guarantee Currency * | | Guarantee Amount * | |
| Enter Guarantee Ref No | | Enter Applicable Place | | 9 | | |
| Applicable Country * | | Beneficiary Type * | Issued Date * | | Effective Date * | |
| Select Applicable Country | Ŧ | Enter Beneficiary Typ | mm/dd/yy | | mm/dd/yy | |
| Expiry Date * | | Is Revolving Guarantee | Is Guarantee Revokable | | | |
| mm/dd/yy | 曲 | \bigcirc | () | | | |
| Rating | | Guarantee Remarks | | | | |
| , **** | | | | | | |
| | | Enter Guarantee Remarks | | | | |
| | | | | | | |
| ► Guarantee Type Details | | | | | | |
| Guarantee Type * | | | | | | |
| Performance Guarantee | Ŧ | | | | | |
| Ferrormance Guarantee | • | | | | | |
| Guarantor Details | | | | | | |
| | | | | | | |
| Charge Details | | | | | | |
| ▶ Guarantee Issuer Details | | | | | | |
| P Oddramee 155der Details | | | | | | |
| | | | | | | |

| Guarantee | | |
|---------------------------------------|-------------------------|---|
| ▶ Guarantee Details | | |
| ▶ Guarantee Type Details | | |
| ▲ Guarantor Details Guarantor Id * | Guarantor Details * | |
| Enter Guarantor Id | Enter Guarantor Details | |
| Charge Details Charge Currency * | Charge Amount * | Charge Account Number * Enter Charge Account Number |
| ▶ Guarantee Issuer Details | | |
| | | |
| | | |
| | | |

| Guarantee | |
|--------------------------|------------------------|
| ▶ Guarantee Details | |
| ▶ Guarantee Type Details | |
| ▶ Guarantor Details | |
| ► Charge Details | |
| Guarantee Issuer Details | |
| Guarantee Issuer * | House/Building * |
| Enter Name | Enter Building Details |
| Street * | Locality |
| Enter Street Details | Enter Street Details |
| Landmark | Area * |
| Enter Landmark | Enter Area |
| City * | State * |
| Enter City | Enter State |
| Zip-Code * | Country * |
| Enter Zip-Code | Select Country 💌 |
| | |

| Field Name | Description | At- tribute Type | Object Type | Siz e | Mandato- ry/Optional | Field Validation |
|-----------------------------------|---|------------------------|----------------|----------|-------------------------|---|
| | | | | | | |
| Reference Number | Capture the Guarantee Reference Number | Input | Free Text | 22 | Mandatory | |
| Applicable Place | Capture the Applicable Place | Input | text | 25 5 | Optional | |
| Guarantee Currency | Capture the Guarantee Currency | Input | Drop down | 3 | Mandatory | |
| Guarantee Amount | Capture the Guarantee Amount | Input | numeric | 22, 3 | Mandatory | |
| Applicable Country | Capture the Applicable Country | Input | Dropdo wn | 3 | Mandatory | |
| Benefi- ciary Type | Capture the Benefi- ciary Type | Input | text | 25 5 | Mandatory | |
| Issued Date | Capture the Issued Date Capture the | Input | date | | Mandatory | |
| Effective Date | Effective Date | Input | date | | Optional | |
| Expiry Date | Capture the Expiry Date Capture the Is | Input | date | | Mandatory | |
| Is Revolving Guarantee | Revolving Guarantee | Input | switch | 1 | Optional | |
| ls Guarantee Revocable | Capture the Is Guarantee Revocable | Input | switch | 1 | Optional | |
| Rating | Capture the Rating | Input | Rating | 1 | Optional | |
| Guarantee Remarks Guarantee | Capture the Guarantee Details | Input | Text | 25 5 | Optional | |
| Type Details | | | | | | |
| Guarantee Type | Capture the Guarantee Type | Input | Drop down | 22 | Mandatory | Possible values are Government guar- antee, Commercial guar- antee, Personal guarantee, Bid bond guarantee, Performance guar- antee, Financial Guarantee etc, |

| Performance | Capture the Performance | | | | | |
|---------------------------------------|--|-------|--------------|----------|-----------|------------------------------|
| Contract De- tails | Contract De- tails | Input | Text | 25 5 | Mandatory | for performance guarantee |
| Govt. Institu- tion Details | Capture the Govt. Institu- tion Details | Input | Text | 25 5 | Mandatory | |
| Financial Contract De- tails | Capture the Financial Contract De- tails | Input | Text | 25 5 | Mandatory | for financial Guar- antee |
| Guarantee Registration Number | Capture the Guarantee Registration Number | Input | Text | 22 | Mandatory | for commercial guarantee |
| Guarantee Registration Name | Capture the Guarantee Registration Name | Input | Text | 25 5 | Mandatory | for commercial guarantee |
| Nature of Business | Capture the Nature of Business | Input | Text | 25 5 | Optional | for commercial guarantee |
| Personal Guarantee Credit Terms | Capture the Personal Guarantee Credit Terms | Input | Text | 25 5 | Mandatory | for personal guar- antee |
| Bid Bond Guarantee Details | Capture the Bid Bond Guarantee Details | Input | Text | 25 5 | Mandatory | for bid bond guar- antee |
| Guarantor Details | | | | | | |
| Guarantee Id | Capture the Guarantee Id | Input | Text | 16 | Mandatory | |
| Guarantee Details | Capture the Guarantee Details | Input | Text | 25 5 | Optional | |
| Charge De- tails | | | | | | |
| Charge Cur- rency | Capture the Charge Cur- rency | Input | Drop down | 3 | Mandatory | |
| Charge Amount | Capture the Charge Amount | Input | numeric | 22, 3 | Mandatory | |
| Charge Ac- count Number | Capture the Charge Ac- count Number | Input | Text | 16 | Optional | |
| Guarantee Issuer De- tails | | | | | | |
| Guarantee Issuer | Capture the Guarantee Issuer | Input | Text | | Mandatory | |

| House/Buildin | Capture the | | Free | 25 | |
|---------------|-------------|-------|--------|----|-----------|
| g | Address | Input | Text | 5 | Mandatory |
| | Capture the | | Free | 25 | |
| Street | Address | Input | Text | 5 | Mandatory |
| | Capture the | | Free | 25 | |
| Locality | Address | Input | Text | 5 | Optional |
| | Capture the | | Free | 25 | |
| Landmark | Address | Input | Text | 5 | Optional |
| | Capture the | | Free | 25 | |
| Area | Address | Input | Text | 5 | Mandatory |
| | Capture the | | Free | 25 | |
| City | City | Input | Text | 5 | Mandatory |
| | Capture the | | Free | 25 | |
| State | State | Input | Text | 5 | Mandatory |
| | Capture the | | Free | 25 | |
| Zip Code | Zip Code | Input | Text | 5 | Mandatory |
| | Capture the | | Dropdo | | |
| Country | Country | Input | wn | 3 | Mandatory |

2.3.4.11 Insurance

Insurance

 Referent
 Add
 View
 Edit
 Delete

 Policy Number
 Insurance Type
 Policy Currency
 Policy Amount

 Image: Policy Number
 Image: Policy Number
 Policy Number
 Policy Number

 Image: Policy Number
 Image: Policy Number
 Policy Currency
 Policy Amount

 Image: Policy Number
 Image: Policy Number
 Policy Number
 Policy Number

 Image: Policy Number
 Image: Policy Number
 Policy Number
 Policy Amount

 Image: Policy Number
 Image: Policy Number
 Policy Number
 Policy Amount

 Image: Policy Number
 Image: Policy Number
 Image: Policy Number
 Policy Amount

| Policy Details | | | |
|---------------------------------|---------|----------------------------|---|
| Insurance Policy Number | * | Insurance Type * | _ |
| Enter Insurance Policy Nu | umber | Select Insurance Type 🔻 | |
| Policy Currency * | | Insurance Policy Amount * | |
| | 0 | | |
| Policy Start Date * | | Policy End Date | |
| mm/dd/yy | | mm/dd/yy | |
| Policy Issue Date * | | Policy Assignment Date * | |
| mm/dd/yy | | mm/dd/yy | |
| Policy Tenure (in years) * | | Insurance Premium Amount * | |
| 0 | 1 | | |
| Insurance Stamp Duty Am | ount * | Policy Tax Amount | |
| Insuree Stamp Duty Amou | int | Policy Discount Amount | |
| Insurance Surrender Value | 2 | Policy Status * | |
| | | Select Policy Status | |

| rance Details | | | | |
|----------------------------|------------------------|-------------|--|--|
| | | | | |
| | | | | |
| ▶ Policy Details | | | | |
| | | | | |
| ▶ Insurer Details | | | | |
| Insurer Name * | House/Building * | | | |
| Enter Name | Enter Building Details | | | |
| Street * | Locality | | | |
| Enter Street Details | Enter Street Details | | | |
| Landmark | Area * | | | |
| Enter Landmark | Enter Area | | | |
| City * | State * | | | |
| Enter City | Enter State | | | |
| Zip-Code * | Country * | | | |
| Enter Zip-Code | Select Country 🔻 | | | |
| ⊿ Renewal Details | | | | |
| | | | | |
| Renewal Frequency * | Next Renewal Date * | | | |
| Select Renewal Frequency 🔻 | mm/dd/yy 🗰 | | | |
| ▶ Lien Details | | | | |
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| | O | K Clear Can | | |

| ▶ Policy Details | | |
|-----------------------------------|-----------------------|-------------|
| ▶ Insurer Details | | |
| ▶ Renewal Details | | |
| Lien Details | | |
| Insurance Lien Reference Number * | Insurance Lien Date | |
| Enter Lien Reference Number | mm/dd/yy | |
| Lien Status * | Insurance Undertaking | |
| Select Lien Status | | |
| Annual Confirmation Required | | |
| | | |
| | | |
| | | |
| | | OK Clear Ca |

| Field Name | Description | Attrib- | Object | Siz | Mandato- | Field Vali- |
|------------|-------------|----------|--------|-----|-------------|-------------|
| | Description | ute Type | Туре | е | ry/Optional | dation |

| Policy Details | | | 1 | | | |
|-----------------------------------|--|-------|--------------|------|-----------|---|
| Insurance policy Number | Capture the Insurance policy Number | Input | Text | 16 | Mandatory | |
| Insurance type | Capture the Insurance policy type | Input | Drop down | 22 | Mandatory | Possible values are Asset Insur- ance, Life Insur- ance, Corporate Insurance, Borrower Insurance |
| Policy Status | Capture the Policy Sta- tus | Input | Drop down | 22 | Mandatory | Possible values are Inforce, Lapsed, Paid-up |
| Policy curren- cy | Capture the Policy Cur- rency | Input | Drop down | 3 | Mandatory | |
| Insurance Policy Amount | Capture the Insurance Policy Amount | Input | numeric | 22,3 | Mandatory | |
| Policy Start Date | Capture the Policy Start Date | Input | Date | | Mandatory | |
| Policy End Date | Capture the Policy End Date | Input | Date | | | |
| Policy Issue Date | Capture the Policy Issue Date | Input | Date | | Mandatory | |
| Policy As- signment Date | Capture the Policy As- signment Date | Input | Date | | Mandatory | |
| Policy Tenure (in Years) | Capture the Policy Ten- ure (in Years) | Input | numeric | 4 | | |
| Insurance Premium Amount | Capture the Insurance Premium Amount | Input | numeric | 22,3 | Mandatory | |
| Insurance Stamp Duty Amount | Capture the Insurance Stamp Duty Amount | Input | numeric | 22,3 | Mandatory | |
| Policy Tax Amount | Capture the Policy Tax | Input | numeric | 22,3 | Optional | |

| | Amount | | | | | |
|---------------------------------|--|-------|-----------|------|-----------|--|
| Policy Dis- count Amount | Capture the Policy Dis- count Amount | Input | numeric | 22,3 | Optional | |
| Insure Stamp | Capture the Insure Stamp Duty | | | | | |
| Duty Amount | Amount | Input | numeric | 22,3 | Optional | |
| Insurance Surrender Value | Capture the Insurance Surrender Value | Input | numeric | 22,3 | Optional | |
| Insurer De- tails | | | | | | |
| Insurer Name | Capture the Insurer Name | Input | Free Text | 255 | Mandatory | |
| House/Buildin | Capture the | | | | | |
| g | Address | Input | Free Text | 255 | Mandatory | |
| Street | Capture the Address | Input | Free Text | 255 | Mandatory | |
| Locality | Capture the Address | Input | Free Text | 255 | Optional | |
| Landmark | Capture the Address | Input | Free Text | 255 | Optional | |
| Area | Capture the Address | Input | Free Text | 255 | Mandatory | |
| City | Capture the City | Input | Free Text | 255 | Mandatory | |
| State | Capture the State | Input | Free Text | 255 | Mandatory | |
| Zip Code | Capture the Zip Code | Input | Free Text | 255 | Mandatory | |
| Country | Capture the Country | Input | Dropdow | 3 | Mandatory | |
| Renewal De- | | | | | , | |
| tails | | | | | | Dessible |
| Renewal Fre- | Capture the Renewal | | Drop | | | Possible values are Quarterly, Monthly, Half yearly, Annually, Semi-Annual |
| quency | Frequency | Input | down | 22 | Optional | Weekly |

| Next Renewal Date | Capture the Next Re- newal Date | Input | Date | | Mandatory | |
|--|---|-------|--------------|----|-----------|---|
| Lien Details | | | | | | |
| Insurance Lien Reference Number | Capture the Insurance Lien Refer- ence Num- ber | Input | Text | 16 | Mandatory | |
| Insurance Lien Date | Capture the Insurance Lien Date | Input | Date | | Mandatory | |
| Lien Status | Capture the Lien Status | Input | Drop down | 22 | Mandatory | Possible values are Lien Re- leased, Lien Marked Requested, Release Lien Requested, Lien Marked |
| Insurance Undertaking | Capture the Insurance Undertaking | Input | Text | 22 | Mandatory | |
| Annual Con- firmation Re- quired | Capture the Annual Confirma- tion Re- quired | Input | switch | 1 | Mandatory | |

2.3.4.12 **Precious Metals**

| 😑 🍞 FuTura Bank | My Tasks | | | | | 1 Bank Futura - (004) 04/13/18 | Jayshree |
|---|---|-----------|-----------------------|----------------|---------------------------|--------------------------------|--------------------------|
| Collateral Evaluation - Da | ata Enrichment | | | | | | 🚯 🔢 Documents 💉 🛪 |
| Basic Info | G Collateral Owne | rship Sen | G lority Of Charge | Preciousmetals | O Preference Insurance | Configuration Details | Comments |
| Preciousmetals | | | | | | | |
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| and the second se | efresh Add View Edit erial Number Me | | Invoice Currency | Invoice Value | | | |
| a Dr. | | | BZD | 60000000 | | | |
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| | | Diamond | v | change | | |
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| Ŧ | | Pounds | Ŧ | | | |
| | | Unit Rate * | | | | |
| Ŧ | | 567.00 | | | | |
| | | Clarity | | | | |
| | | 1F | Ŧ | | | |
| | | Invoice Value * | | | | |
| 0 | | BZD | 600,000,000.00 | | | |
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| | 0 | | Clarity IF Invoice Value * BZD | IF Image: Clarity IF Image: Clarity Image: Clarity Image: Clarity | S67.00 Clarity IF Invoice Value * BZD600.000.000.00 | S67.00 Clarity IF Invoice Value * BZD600.000.000.00 |

| Field Name | Description | Attribute Type | Object Type | Size | Mandatory/Optional | Field Vali- dation |
|------------------------|---|-------------------|----------------|------|--------------------|---|
| Serial | Capture the Serial Number of the precious | lanut | Free Text | 10 | Mandatan | |
| Number | metals | Input | Free Text | 16 | Mandatory | D 11 |
| Precious Metal Type | Capture type of precious metal from LOV | Input | Dropdown | 3 | Mandatory | Possible values are Gold, Silver, Platinum, Diamond & Palladium |
| Description | Capture the description of the precious metal | Input | Free Text | 255 | Optional | |
| Weight | Capture weight of the precious metal in the form of jewelry or in biscuits or in any other form | Input | Numeric | 22,3 | Mandatory | |
| Unit of | Capture the | | | | | Possible values are Grams, Kilograms, |
| Weight | unit of weight | Input | Dropdown | 22 | Mandatory | Milligrams, |

| | | | | | | Pounds, Tonnes |
|----------------------------|---|-------|---------|------|-----------|--|
| Unit Rate | Capture unit rate in collat- eral curren- cy of the pre- cious metal | Input | Amount | 22,3 | Mandatory | |
| Precious Metals Form | Capture if the collateral is in the form of Biscuits, coins, jewelry, stones & bullion | Input | LOV | 16 | Mandatory | Possible values are Biscuits, Coins, Jewelry, Stones & Bullion |
| Invoice Value | Capture the Invoice Value | Input | Numeric | 22,3 | Mandatory | should not be lesser than the weight * unit rate |
| Valuation Amount | Capture the Valuation Amount | Input | Numeric | 22,3 | Optional | |

2.3.4.13 Collateral's Insurance

The Insurance details of the Collateral can be captured using the Collateral's Insurance screen.

| 😑 🍞 FuTura | Bai | nk My Tasks | | | | | 1 In-Country (004) | 05/17/18 | | Jayshree |
|--------------------|-----|---------------------------|--------------|-------------------|--------------------|----------------------|--------------------|-----------|------------------|---------------------|
| Collateral Evaluat | ion | - Data Enrichment | | | | | | Γ | i) III Documents | $_{\mu^{k'}}\times$ |
| @ Basic I | | | Ownership Se | niority Of Charge | Co Bond | Preference Insurance | Configuration De | etails | Comments | |
| Preference Insur | | | | ····· , · · · · | | | | | | |
| | | Refresh Add View | Edit Delete | | | | | | | |
| | | Insurance Number | Insuree Name | Policy Name | Insurance Currency | Insurance Amount | End Date | | | |
| | | INS00234007 | John | Jeevan Anand | BMD | 2342342 | May 25, 2018 | | | |
| | | | | | | | | | | |
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| | | | | | | | Hold | Back Next | Save & Close | Cancel |

| Field Name | Description | Attribute Type | Object Type | Size | Mandatory/Optional | Field Valida- tion |
|-----------------------|--|-------------------|----------------|------|--------------------|--|
| Policy Number | Enter the policy Number for collateral's in- surance | Input | Free Text | 22 | Mandatory | Alpha numer- ic data with maximum of 22 characters is allowed |
| Policy Name | Enter the Policy name pertain- ing to the col- lateral's insur- ance | Input | Free Text | 22 | Mandatory | Alpha numer- ic data with maximum of 22 characters is allowed |
| Insurance Provider | Enter the In- surance Pro- vider name | Input | Free Text | 105 | Mandatory | Alpha numer- ic data with maximum of 105 charac- ters is allowed |
| Insure Name | Enter the in- sure name | Input | Free Text | 105 | Optional | Alpha numer- ic data with maximum of 105 charac- ters is allowed |
| Insurance Type | Select the in- surance type | Input | LOV | 4 | Optional | |
| Policy Status | Select the sta- tus of insur- ance policy | Input | LOV | 4 | Optional | |
| Insurance Currency | Select the in- surance cur- | Input | Search Box | 3 | Mandatory | |

| | rency | | | | | |
|---------------------------------|--|-------|---------------|------|-----------|--|
| Insurance Amount | Enter the in- surance amount | Input | Number | 22,3 | Mandatory | |
| Start Date | Enter the start date of insur- ance | Input | Date | | Mandatory | |
| End Date | Enter the End date of insur- ance | Input | Free Text | | Optional | |
| Grace Days | Enter the grace days | Input | Number | | Optional | |
| Notice Days | Enter notice days | Input | Number | | Optional | |
| Policy As- signed to Bank | Capture the policy assign- ment | Input | Free Text | 50 | Optional | |
| Premium Currency | Capture the Premium cur- rency | Input | Search Box | 3 | Mandatory | |
| Premium Amount | Capture the Premium amount | Input | Number | 22,3 | Mandatory | |
| Premium Frequency | select the pre- mium frequen- cy | Input | LOV | 1 | Optional | |
| Premium End Date | Capture the Phone Number of the entity | Input | Date | | Mandatory | |

Action Buttons on the footer

a. Save & Close - On click of Save & Close, the details of the captured will be saved.

- a. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.
- b. **Hold** On Click of Hold the task status will be suspended and the task will be available in the Hold queue.
 - a. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.

c. Cancel – On Click the system will ask for confirmation and on confirming the task will be closed without saving the data.

d. Next – On click of Next, the details of the captured will be saved and then system will move to the Next Screen.

2.3.4.14 Configuration

The data enrichment user can send the task for Legal Opinion or Valuation or the Risk Evaluation stage by selecting the following fields.

| 🗄 🍞 FuTura Bank 🛛 My 1 | Tasks | | | | 1 In-Country (004) 05/17/18 | | Jayshree |
|-----------------------------------|----------------------|---------------------------------|-----------|---------------------------|-----------------------------|------------------|----------------|
| ollateral Evaluation - Data Enric | hment | | | | | i N Documents | <u>з</u> е - з |
| Basic Info figuration Details | Collateral Ownership | Contraction Seniority Of Charge | © Bond | O Preference Insurance | Configuration Details | Comments | |
| Legal Opinion Required ? | ? | Is Valuation Required | ? | Is Risk Eva | luation Required ? | | |
| | | | | | | | |
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| | | | | | Hold Back Ne | ext Save & Close | Cancel |

| Field Name | Description | Attribute Type | Object Type | Size | Mandatory/Optional | Field Val- idation |
|-------------------------------------|--|-------------------|----------------|------|--------------------|-----------------------|
| Is Legal Opinion Re- quired ? | Select if Legal Opinion task is required | Input | Switch | | Optional | |
| Is Valuation Required ? | Select if Valua- tion task is re- quired | Input | Switch | | Optional | |
| Is Risk Evaluation Required? | Select if Risk Evaluation task is required | Input | Switch | | Optional | |

Action Buttons on the footer

- a. Save & Close On click of Save & Close, the details of the captured will be saved.
 - a. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.
- b. **Hold** On Click of Hold the task status will be suspended and the task will be available in the Hold queue.
 - a. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.

c. Cancel – On Click the system will ask for confirmation and on confirming the task will be closed without saving the data.

d. Next – On click of Next, the details of the captured will be saved and then system will move to the Next Screen.

b. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.

2.3.4.15 **Comments**

| Collateral Evaluation - Data Enrichment Sec, ko. Configuration Data Sinolity Of Charge Bord Configuration Data Sinolity Of Charge Bord Configuration Data Sinolity Of Charge Configuration Data Sinolity Of Charge Senoity Of Charge Bord Configuration Data Sinolity Details Configuration Data Sinolity Details Senoity Of Charge Bord Preference Insurance Configuration Data Sinolity Details Senoity Of Charge Bord Preference Insurance Configuration Data Sinolity Details Senoity Of Charge Data Enrichment Istage completed Senoity Of Charge Configuration Data Sinolity Details Configuration Sinolateration Sinolaterat | 😑 🍞 FuTura Bank 🛛 My | Tasks | | | | 1 In-Country (004) 05/17/18 | Jayshree |
|--|-----------------------------------|----------------------|---------------------|------------------------|----------------------|-----------------------------|-------------------------|
| Basic Info Collateral Quivership Senonty Of Charge Eond Peterene Insurance Configuration Details Continents | Collateral Evaluation - Data Enri | chment | | | | | i II Documents 🛒 🗙 |
| Comments Internation Avagemeet Avagemeet Internation Avagemeet Internation Avagemeet Internation Avagemeet Internation Inter | | | | | | | • |
| Submit JAVASHREE Data Enrichment stage completed MAY 250, 2028 at 354 pm Initiation stage completed | | Collateral Ownership | Seniority Of Charge | Bond | Preference Insurance | Configuration Details | Comments |
| JAXASHREE Data Enrichment stage completed JAXASHREE Initiation Initiation Asy 25th, 2018 at 3:44 pm | comments | | | | | | |
| JAXASHREE Data Enrichment stage completed JAXASHREE Initiation Initiation Asy 25th, 2018 at 3:44 pm | | | | | | | |
| JAXASHREE Data Enrichment stage completed JAXASHREE Initiation Initiation Asy 25th, 2018 at 3:44 pm | | | | | | | |
| JAXASHREE Data Enrichment stage completed JAXASHREE Initiation Initiation Asy 25th, 2018 at 3:44 pm | | | | | | | |
| Data Enrichment Aky 25h, 2028 at 258 pm Data Enrichment stage completed | | | | | | | Submit |
| Data Enrichment Aky 25h, 2028 at 258 pm Data Enrichment stage completed | | | | | | | |
| IXVSHEEE Initiation May 25th 2028 at 3:44 pm | | | | | | | |
| Initiation May 25th 2018 at 344 pm | May 25th, 2018 at 3:58 pm | | Data Enrie | chment stage completed | | | |
| Initiation May 25th 2018 at 344 pm | 141/4/01/07/2 | | | | | | |
| | Initiation | | | | | | |
| Hold Back Next Save & Close Submit Cancel | May 25th, 2018 at 3:44 pm | | Initiation | stage completed | | | |
| Hold Back Next Save & Close Submit Cancel | | | | | | | |
| Hold Back Next Save & Close Submit Cancel | | | | | | | |
| Hold Back Next Save & Close Submit Cancel | | | | | | | |
| Hold Back Next Save & Close Submit Cancel | | | | | | | |
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| Hold Back Next Save & Close Submit Cancel | | | | | | | |
| Hold Back Next Save & Close Submit Cancel | | | | | | | |
| Fidd Back Next Save & Close Submit Cancel | | | | | | | |
| | | | | | | Hold Back Next Save | e & Close Submit Cancel |

| Field Name | Description | At- trib- ute Type | Ob- ject Type | Siz e | Mandato- ry/Optional | Field Validation |
|---------------|--|-----------------------------|---------------------|----------|-------------------------|------------------|
| Com- ments | Specify the com- ments for the stage. This will be visible in all the stages | Input | Free Text | 600 | Mandatory | |

Action Buttons

After providing required data, you will be able to perform one of the below actions -

- f) Submit On Submit, the checklists applicable for the stage will be defaulted based on the application category. On Verifying all the checklist and on selection of the Outcome of the task the task will be submitted.
- g) Save & Close On click of Save & Close, the details of the captured will be saved.
 - a. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.
- h) **Hold** On Click of Hold the task status will be suspended and the task will be available in the Hold queue.

- a. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.
- i) **Cancel** On Click the system will ask for confirmation and on confirming the task will be closed without saving the data.
- j) **Back** On Click of Back, the previous screen will be opened.

2.4 Internal Legal Evaluation

As a Legal Officer the user will review the collateral and its documents to see if the collateral can secure bank's exposure. Generally legal department of the bank considers the following possible aspects

How helpful the local jurisdiction in facilitating quick disposal and recovery of money (legal processes related to collateral sale)

Whether the collateral submission has been duly authorized by customer's board (board resolution to authorize company management to provide collateral),

In case of existing first charge, will there be any issue in claiming

Following details will available for the user to review the collateral and provide the Legal Opinion.

- Collateral Summary
- Internal Legal Opinion
- Checklist
- Comments

2.4.1Collateral Summary

The user can click on each of the tiles to view the detailed information of the Collateral.

| E FuTura Bank My Tasks | | | 1 In-Country (004) 05/17/18 | Jaysh |
|--------------------------------------|----------------------------|---------------------|-----------------------------|------------------|
| ollateral Evaluation - Legal Opinion | | | _ | i) III Documents |
| Collateral Summary ateral Summary | | Legal Opinion | Comments | |
| Basic Info | Ownership | Seniority Of Charge | | |
| Customer Name WAL | WAL | | | |
| Collateral Type | | | | |
| Description Bond collateral | 100% | Second Charge | | |
| Available From 23-05-2018 | | | | |
| Available Till | | | | |
| Documents | Internal Valuation Details | Risk Profile | | |
| R | Valuation Date 23-05-2018 | | | |
| | Valuation Currency GBP | 1 | | |
| | Valuation Amount 34234234 | | | |
| | Valuation Remarks | | | |
| | | Risk Details Count | | |
| | | | Hold Back Next | Save & Close Can |

| Field Name | Description | Attribute Type | Object Type | Size | Mandatory/Optional | Field Val- idation |
|------------------------|---|-------------------|----------------|------|--------------------|-----------------------|
| Basic Info | Displays the basic info details of collateral. | Display | Tile | | | |
| Ownership | Displays the ownership de- tails. | Display | Tile | | | |
| Seniority Of Charge | Displays the seniority of charge details. | Display | Tile | | | |
| Documents | Displays the document de- tails. | Display | Tile | | | |
| Collateral Type | Displays the collateral type details. | Display | Tile | | | |

Action Buttons on the footer

- a. Save & Close On click of Save & Close the screen gets closed.
- b. **Hold** On Click of Hold the task status will be suspended and the task will be available in the Hold queue.
 - a. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.

c. Cancel – On Click the system will ask for confirmation and on confirming the task will be closed without saving the data.

d. Next – On click of Next, the details of the captured will be saved and then system will move to the Next Screen.

2.4.2Internal Legal Opinion

The Legal officer can review the collateral details and provide the legal opinion. The user can also upload the supporting documents.

| 😑 🍞 FuTura Bank | My Tasks | | 1 In-Country (004) 05/17/18 | Jayshree |
|-----------------------------|----------------------|---------------|-----------------------------|---------------------|
| Collateral Evaluation - Leg | Jal Opinion | | | i II Documents 💉 🗙 |
| | Collateral Summary | Legal Opinion | Comments | |
| Legal Opinion | | | | |
| Legal Opinion Date * | | | | |
| 05/24/18 | ± | | | |
| Legal Opinion * | | | | |
| good to proceed | | | | |
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| | | | Hold Back Next | Save & Close Cancel |

| Field Name | Description | Attribute Type | Object Type | Size | Mandatory/Optional | Field Valida- tion |
|--------------------------|--------------------------------------|-------------------|----------------|------|--------------------|--|
| Legal Opinion Date | Capture the legal opinion date | Input | Date | | Mandatory | Legal opinion date should be after collateral start date. |
| Legal Opinion | Capture the legal opinion | Input | Text | | Mandatory | |
| Document Upload | Uploads the document | Input | Text | | Mandatory | |

Action Buttons on the footer

- a. Save & Close On click of Save & Close the screen gets closed.
- b. **Hold** On Click of Hold the task status will be suspended and the task will be available in the Hold queue.
 - a. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.

c. Cancel – On Click the system will ask for confirmation and on confirming the task will be closed without saving the data.

d. Next – On click of Next, the details of the captured will be saved and then system will move to the Next Screen.

2.4.3Comments

| 😑 🍞 FuTura Bank | My Tasks | | fi In-Country | (004) 05/17/18 | | Jayshree |
|---|--------------------|---------------------------------|---------------|----------------|------------------|---------------------|
| Collateral Evaluation - Lega | al Opinion | | | | i Nocuments | $_{\mu^{d'}}\times$ |
| | Collateral Summary | Co Legal Opinion | | Comments | | |
| Comments | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | Submit |
| JAYASHREE Legal Opinion May 25th, 2018 at 4:13 pm | | Legal opinion stage completed | | | | |
| JAYASHREE Valuation May 25th, 2018 at 4:07 pm | | valuation stage completed | | | | |
| JAYASHREE Risk Evaluation May 25th, 2018 at 4:03 pm | | Risk Evaluation stage completed | | | | |
| JAYASHREE Data Enrichment May 25th, 2018 at 3:58 pm | | Data Enrichment stage completed | | | | |
| JAYASHREE Initiation <i>May 25th, 2018 at 3:44 pm</i> | | Initiation stage completed | | | | |
| | | | | | | Show More |
| | | | Hold Back | Next Sav | e & Close Submit | Cancel |

| Field Name | Description | At- trib- ute Type | Ob- ject Type | Siz e | Mandato- ry/Optional | Field Validation |
|---------------|--|-----------------------------|---------------------|----------|-------------------------|------------------|
| Com- ments | Specify the com- ments for the stage. This will be visible in all the stages | Input | Free Text | 600 | Mandatory | |

Action Buttons

After providing required data, you will be able to perform one of the below actions -

- a) **Submit –** On Submit, the checklists applicable for the stage will be defaulted based on the application category. On Verifying all the checklist and on selection of the Outcome of the task the task will be submitted.
- b) Save & Close On click of Save & Close, the details of the captured will be saved.
 - a. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.
- c) **Hold** On Click of Hold the task status will be suspended and the task will be available in the Hold queue.
 - a. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.
- d) **Cancel** On Click the system will ask for confirmation and on confirming the task will be closed without saving the data.
- e) **Back** On Click of Back, the previous screen will be opened.

2.5 Risk Evaluation

As a Risk Officer the user will review the collateral and its documents to see if the collateral can secure bank's exposure.

Following details will available for the user to review the collateral and provide the Risk Evaluation.

- Collateral Summary
- Risk Evaluation
- Checklist
- Comments

2.5.1Collateral Summary

The user can click on each of the tiles to view the detailed information of the Collateral.

| = 1 | 루 FuTura Bank My Tasks | | | fin In-Country | (004) 05/17/18 | | Jayshree |
|---------|--|--|-------------------------|----------------|----------------|------------------|---------------------|
| Collate | eral Evaluation - Legal Opinion | | | | | i Nocuments | $_{\mu^{k'}}\times$ |
| Collate | Collateral Summary eral Summary | | Legal Opinion | | Comments | | |
| | Basic Info | Ownership | Seniority Of Charge | | | | |
| | Customer Name WAL Collateral Type Description Bond collateral Available From 23-05-2018 Available Till | WAL | Second Charge | | | | |
| | Documents | Internal Valuation Details | Risk Profile | | | | |
| | | Valuation Date 23-05-2018 Valuation Currency G8P Valuation Amount 34234234 Valuation Remarks | 1 Risk Details Count | | | | |
| | | | | нок | d Back N | ext Save & Close | Cancel |

| Field Name | Description | Attribute Type | Object Type | Size | Mandatory/Optional | Field Val- idation |
|------------------------|---|-------------------|----------------|------|--------------------|-----------------------|
| Basic Info | Displays the basic info details of collateral. | Display | Tile | | | |
| Ownership | Displays the ownership de- tails. | Display | Tile | | | |
| Seniority Of Charge | Displays the seniority of charge details. | Display | Tile | | | |
| Documents | Displays the document de- tails. | Display | Tile | | | |

| Collateral Type | Displays the collateral type details. | Display | Tile | | | | | |
|--------------------|---|---------|------|--|--|--|--|--|
|--------------------|---|---------|------|--|--|--|--|--|

Action Buttons on the footer

- a. Save & Close On click of Save & Close the screen gets closed.
- b. **Hold** On Click of Hold the task status will be suspended and the task will be available in the Hold queue.
 - a. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.

c. Cancel – On Click the system will ask for confirmation and on confirming the task will be closed without saving the data.

d. Next – On click of Next, the details of the captured will be saved and then system will move to the Next Screen.

2.5.2Risk Evaluation

The Risk officer can review the collateral details and provide the legal opinion. The user can also upload the supporting documents. Reviews the collateral details and then analyze the collateral based on various risk categories like

- Geo political Risk
- Currency Risk
- Liquidity Risk
- Issuer Risk
- Operational Risk
- Natural Hazard Risk

| 😑 🍞 FuTura | Bar | nk My Tasks | | | f In-Country | (004) 05/17/18 | | Jayshre | 2e |
|---------------------|-------|---------------------------|-----------------|----------|--------------|----------------|-------------------|---------|----|
| Collateral Evaluati | ion · | - Risk Evaluation | | | | i | Hold II Documer | its 🛒 | × |
| | | | Risk Evaluation | | Comments | | | | |
| Risk Evaluation | | | | | | | | | |
| | | Refresh Add View I | Edit Delete | | | | | | |
| | _ | | | | | | | | |
| | | Risk Type | Severity | Comments | | | | | |
| | | GPR | high | ok | | | | | |
| | | | | | | | | | ļ |
| | | | | | | | | | ļ |
| | Page | e 1 of 1 (1 of 1 items) K | < 1 > × | | | | | | |
| Risk Outcome * | | | | | | | | | |
| Accept | | * | | | | | | | |
| Remarks | | | | | | | | | |
| | | | | | | | | | |
| Final Recommend | ation | n * | | | | | | | |
| ok | ation | 1 | | | | | | | 1 |
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| | | | | | Ho | d Back | Next Save & Close | Cancel | |

| Field Name | Description | Attrib- ute Type | Ob- ject Type | Size | Mandato- ry/Optional | Field Valida- tion |
|--------------|------------------------------|------------------------|---------------------|----------|-------------------------|--------------------------|
| Risk Type | Select the Risk Type | Input | LOV | 3 | Mandatory | |
| Severity | Enter the sever- ity | Input | Free Text | | Mandatory | |
| Comments | Enter the com- ments | Input | Free Text | 200 0 | Optional | |
| Risk Outcome | Capture the valuation amount | Input | LOV | 4 | Mandatory | |
| Remarks | Capture the re- marks | Input | Free Text | 200 0 | Optional | |

| Final Recom- mendation Capture I Recomm tion | | Free Text | 200 0 | Mandatory | | |
|---|--|--------------|----------|-----------|--|--|
|---|--|--------------|----------|-----------|--|--|

- a. Save & Close On click of Save & Close the screen gets closed.
- b. **Hold** On Click of Hold the task status will be suspended and the task will be available in the Hold queue.
 - a. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.

c. Cancel – On Click the system will ask for confirmation and on confirming the task will be closed without saving the data.

d. Next – On click of Next, the details of the captured will be saved and then system will move to the Next Screen.

b. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.

2.5.3Comments

| Field Name | Description | At- trib- ute | Siz e | Mandato- ry/Optional | Field | Validation |
|---|-----------------|----------------------|--------------|-------------------------|-------------------|---------------|
| | | | | Hold Back | Next Save & Close | Submit Cancel |
| | | | | | | |
| | | | | | | |
| JAVASHREE Initiation May 25th, 2018 at 3:44 pm | | Initiation stage com | pleted | | | |
| JAYASHREE Data Enrichment May 25th, 2018 at 3:58 pm | | Data Enrichment sta | ge completed | | | |
| JAYASHREE Risk Evaluation May 25th, 2018 at 4:03 pm | | Risk Evaluation stag | e completed | | | |
| | | | | | | Submit |
| | | | | | | |
| Comments | Risk Evaluation | | | Comments | | |
| Collateral Evaluation - Ris | | | | | i Hold | Documents |
| 😑 🍞 FuTura Bank | My Tasks | | | 1n-Country | (004) 05/17/18 | Jayshree |

Type

Туре

| Com- ments | Specify the com- ments for the stage. This will be visible in all the stages | Input | Free Text | 600 | Mandatory | | |
|---------------|--|-------|--------------|-----|-----------|--|--|
|---------------|--|-------|--------------|-----|-----------|--|--|

Action Buttons

- a) **Submit –** On Submit, the checklists applicable for the stage will be defaulted based on the application category. On Verifying all the checklist and on selection of the Outcome of the task the task will be submitted.
- b) Save & Close On click of Save & Close, the details of the captured will be saved.
 - a. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.
- c) **Hold** On Click of Hold the task status will be suspended and the task will be available in the Hold queue.
 - a. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.
- d) **Cancel** On Click the system will ask for confirmation and on confirming the task will be closed without saving the data.
- e) **Back** On Click of Back, the previous screen will be opened.

2.6 Internal Valuation

As a Credit Officer the user will review the collateral and its documents and the market value of the collateral, the trends of margin for similar collateral and the trends of the valuation of similar collateral.

Following details will available for the user to review the collateral and provide the Risk Evaluation.

- Collateral Summary
- Internal Valuation
- Checklist
- Comments

2.6.1Collateral Summary

The user can click on each of the tiles to view the detailed information of the Collateral.

| = | 루 FuTura Bank My Tasks | | | fin In-Country | (004) 05/17/18 | | Jayshree |
|---------|---|--|-------------------------|----------------|----------------|-------------------|---------------------|
| Collat | teral Evaluation - Legal Opinion | | | | | i Nocuments | $_{\mu^{k'}}\times$ |
| Collate | Collateral Summary eral Summary | | C Legal Opinion | | Comments | | |
| | Basic Info | Ownership | Seniority Of Charge | | | | |
| | Customer Name WAL Collateral Type | WAL | Second Charge | | | | |
| | Documents | Internal Valuation Details | Risk Profile | | | | |
| | | Valuation Date 23-05-2018 Valuation Currency GBP Valuation Amount 34234234 Valuation Remarks | 1 Risk Details Count | | | | |
| | | | | Hold | d Back N | lext Save & Close | Cancel |

| Field Name | Description | Attribute Type | Object Type | Size | Mandatory/Optional | Field Val- idation |
|------------------------|---|-------------------|----------------|------|--------------------|-----------------------|
| Basic Info | Displays the basic info details of collateral. | Display | Tile | | | |
| Ownership | Displays the ownership de- tails. | Display | Tile | | | |
| Seniority Of Charge | Displays the seniority of charge details. | Display | Tile | | | |
| Documents | Displays the document de- tails. | Display | Tile | | | |

| Collateral Type | Displays the collateral type details. | Display | Tile | | | | | |
|--------------------|---|---------|------|--|--|--|--|--|
|--------------------|---|---------|------|--|--|--|--|--|

- a. Save & Close On click of Save & Close the screen gets closed.
- b. **Hold** On Click of Hold the task status will be suspended and the task will be available in the Hold queue.
 - a. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.

c. Cancel – On Click the system will ask for confirmation and on confirming the task will be closed without saving the data.

d. Next – On click of Next, the details of the captured will be saved and then system will move to the Next Screen.

b. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.

2.6.2Internal Valuation

As a Credit Officer the user will review the collateral and its documents and the market value of the collateral, the trends of margin for similar collateral and the trends of the valuation of similar collateral.

| 😑 🍞 FuTura Bank | My Tasks | | | Î | In-Country (004) 05/17/18 | Jay | /shree |
|--|--------------------|-------------------------------------|-----------------------------|---|-----------------------------|-----------------|-------------------|
| Collateral Evaluation - Valu | ation | | | | (| Documents | , st × |
| | Collateral Summary | | Valuation | | 0 | | |
| Internal Valuation Valuation Date * | Collateral Summary | Valuation Team | Valuation | | Comments Valuation Amount * | | |
| 05/24/18 | | Enter Valuation Team Details | GBP | 0 | \$34,234,2 | 34.00 | |
| | | Bank Haircut * | | | Bank Value | 34.00 | |
| Category Haircut * | | 2% | Market Value 32864864.64 | | 33549549.32 | | |
| | | | 52004004.04 | | 55545545.52 | | |
| Valuation Expiry Date * 05/29/18 | ** | Valuer Remarks Enter Valuer Remarks | | | | | |
| 05/29/16 | | Enter valuer Kemarks | | | | | |
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| Field Name | Description | Attribute Type | Object Type | Size | Mandatory/Optional | Field Valida- tion |
|-----------------------|------------------------------------|-------------------|----------------|------|--------------------|--|
| Valuation Date | Select the valuation date | Input | Date | 1 | Mandatory | The date should be less than collateral start date and should not be greater than current date |
| Valuation Team | The valuer details | Input | Free Text | 22 | Optional | |
| Valuation Currency | Select the valuation currency | Input | Search Box | 3 | Mandatory | |
| Valuation Amount | Capture the valuation amount | Input | Number | 22,3 | Mandatory | |
| Category Haircut | Capture the category haircut | Input | Number | | Mandatory | |
| Bank Haircut | Capture the bank haircut | Input | Number | | Mandatory | |
| Market Value | Capture the market value | ReadOnly | Free Text | | | Calculated based on cate- gory haircut and |

| | | | | | | valuation amount |
|-----------------------------|-------------------------------------|----------|--------------|------|-----------|---|
| Bank Value | Capture the bank value | ReadOnly | Free Text | | | Calculated based on bank haircut and valuation amount |
| Valuation Expiry Date | Capture Valuation Expiry date | Input | Date | | Mandatory | The date should be more than current date |
| Valuer Remarks | Capture the valuer Re- marks | Input | Free Text | 2000 | Optional | |

- a. Save & Close On click of Save & Close the screen gets closed.
- b. **Hold** On Click of Hold the task status will be suspended and the task will be available in the Hold queue.
 - a. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.

c. Cancel – On Click the system will ask for confirmation and on confirming the task will be closed without saving the data.

d. Next – On click of Next, the details of the captured will be saved and then system will move to the Next Screen.

b. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.

2.6.3Comments

| 😑 🍞 FuTura Bank | My Tasks | | ff In-Country | (004) 05/17/18 | | Jayshree |
|--|--------------------|---------------------------------|---------------|----------------|------------------|---------------------|
| Collateral Evaluation - Valu | | | | | i Nocuments | $_{\mu^{k'}}\times$ |
| | Collateral Summary | () Internal Valuation | | Comments | | |
| Comments | | | | | | |
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| JAYASHREE Valuation | | | | | | |
| May 25th, 2018 at 4:07 pm | | valuation stage completed | | | | |
| JAYASHREE | | | | | | |
| Risk Evaluation May 25th, 2018 at 4:03 pm | | Risk Evaluation stage completed | | | | |
| JAYASHREE | | | | | | |
| Data Enrichment May 25th, 2018 at 3:58 pm | | Data Enrichment stage completed | | | | |
| JAYASHREE | | | | | | |
| Initiation May 25th, 2018 at 3:44 pm | | Initiation stage completed | | | | |
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| | | | Hold Back | Novt Sau | e & Close Submit | Cancel |
| 114 114 | | | HOIG BACK | Next Sav | e di ciose | Cancel |

| Field Name | Description | At- trib- ute Type | Ob- ject Type | Siz e | Mandato- ry/Optional | Field Validation |
|---------------|--|-----------------------------|---------------------|----------|-------------------------|------------------|
| Com- ments | Specify the com- ments for the stage. This will be visible in all the stages | Input | Free Text | 600 | Mandatory | |

Action Buttons

- a) **Submit –** On Submit, the checklists applicable for the stage will be defaulted based on the application category. On Verifying all the checklist and on selection of the Outcome of the task the task will be submitted.
- b) Save & Close On click of Save & Close, the details of the captured will be saved.
 - a. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.
- c) **Hold** On Click of Hold the task status will be suspended and the task will be available in the Hold queue.
 - a. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.
- d) **Cancel** On Click the system will ask for confirmation and on confirming the task will be closed without saving the data.
- e) **Back** On Click of Back, the previous screen will be opened.

2.7 Review and Recommendations

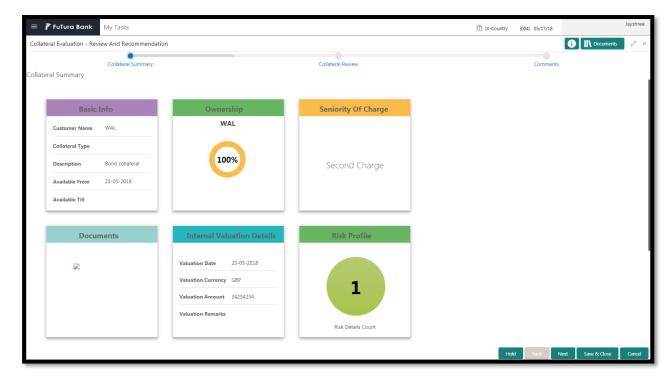
As a Credit Reviewer, the user will review the collateral and its documents and the market value of the collateral, legal opinion of the legal department, Risk Evaluation and provide recommendations.

Following details will available for the user to review the collateral and provide the recommendations.

- Collateral Summary
- Review and Recommendations
- Checklist
- Comments

2.7.1 Collateral Summary

The user can click on each of the tiles to view the detailed information of the Collateral.



| Field Name | Description | Attribute Type | Object Type | Size | Mandatory/Optional | Field Val- idation |
|------------------------|---|-------------------|----------------|------|--------------------|-----------------------|
| Basic Info | Displays the basic info details of collateral. | Display | Tile | | | |
| Ownership | Displays the ownership de- tails. | Display | Tile | | | |
| Seniority Of Charge | Displays the seniority of charge details. | Display | Tile | | | |

| Documents | Displays the document details. | Display | Tile | | |
|----------------------|---|---------|------|--|--|
| Collateral Type | Displays the col- lateral type de- tails. | Display | Tile | | |
| Legal Opin- ion | Displays the Le- gal Opinion | Display | Tile | | |
| Risk Evalu- ation | Displays the Risk Evaluation | Display | Tile | | |
| Valuation | Displays the Valuation of the Collateral | Display | Tile | | |

- a. Save & Close On click of Save & Close the screen gets closed.
- b. **Hold** On Click of Hold the task status will be suspended and the task will be available in the Hold queue.
 - a. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.

c. Cancel – On Click the system will ask for confirmation and on confirming the task will be closed without saving the data.

d. Next – On click of Next, the details of the captured will be saved and then system will move to the Next Screen.

b. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.

2.7.2 Review and Recommendation

As a Credit Reviewer, the user would review the details of the collateral along with the Legal Opinion of the Legal department, Risk Evaluation and Valuation details and recommend the Collateral Value.

| ≡ | 🏲 FuTura Bank | My Tasks | | ff In-Country | (004) 05/17/18 | | Jayshree |
|---------|-------------------------|-----------------------|-------------------|-----------------|----------------|-------------------|---------------------|
| Collat | eral Evaluation - Revie | ew And Recommendation | | | | i N Documents | $_{\mu^{d'}}\times$ |
| | | Collateral Summary | Collateral Review | | Comments | | |
| Collate | eral Review | | | | | | |
| | | | | | | | |
| | Final Recomment | dation | | | | | |
| | Valuation Currency | | Valuation Amount | Recommendation | | | |
| | GBP | | £34,227,387.15 | good to proceed | | | |
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| | | | | Hold | i Back N | lext Save & Close | Cancel |
| | | | | | | | |

| Field Name | Description | Attribute Type | Object Type | Size | Mandato- ry/Option al | Field Vali- dation |
|--------------------|--|-------------------|----------------|------|-----------------------------|-----------------------|
| Valuation Currency | Displays the collateral cur- rency selected. | Display | Read Only | | | |
| Valuation Amount | Capture the valuation amount. | Input | Amount | 22,3 | | |
| Recommendation | Captures the recommenda-tion. | Input | Free Text | | Optional | |

Action Buttons on the footer

- a. Save & Close On click of Save & Close the screen gets closed.
- b. **Hold** On Click of Hold the task status will be suspended and the task will be available in the Hold queue.
 - a. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.

c. Cancel – On Click the system will ask for confirmation and on confirming the task will be closed without saving the data.

d. Next – On click of Next, the details of the captured will be saved and then system will move to the Next Screen.

b. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.

2.7.3Comments

| Field Name | Description | At- trib- ute Type | Ob- ject Type | Siz e | Mandato- ry/Optional | Field Validation |
|---------------|--|-----------------------------|---------------------|----------|-------------------------|------------------|
| Com- ments | Specify the com- ments for the stage. This will be visible in all the stages | Input | Free Text | 600 | Mandatory | |

| 😑 🍞 FuTura Bank | My Tasks | | ff In-Country | (004) 05/17/18 | - | Jayshree |
|---|-----------------------|---------------------------------|---------------|----------------|------------------|---------------------------|
| Collateral Evaluation - Revie | ew And Recommendation | | | | i Documents | $_{\mu^{t\ell}} \times $ |
| | Collateral Summary | Collateral Review | | Comments | | |
| Comments | | | | | | |
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| | | | | | : | Submit |
| JAYASHREE Review And Recommendation May 25th, 2018 at 4:19 pm | n | Review stage completed | | | | |
| JAYASHREE Legal Opinion May 25th, 2018 at 4:13 pm | | Legal opinion stage completed | | | | |
| JAYASHREE Valuation May 25th, 2018 at 4:07 pm | | valuation stage completed | | | | |
| JAYASHREE Risk Evaluation <i>May 25th, 2018 at 4:03 pm</i> | | Risk Evaluation stage completed | | | | |
| JAYASHREE Data Enrichment May 25th, 2018 at 3:58 pm | | Data Enrichment stage completed | | | | |
| JAYASHREE Initiation | | | Hold Back | Next Sav | e & Close Submit | Cancel |

Action Buttons

- a) **Submit –** On Submit, the checklists applicable for the stage will be defaulted based on the application category. On Verifying all the checklist and on selection of the Outcome of the task the task will be submitted.
- b) Save & Close On click of Save & Close, the details of the captured will be saved.
 - a. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.
- c) **Hold** On Click of Hold the task status will be suspended and the task will be available in the Hold queue.
 - a. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.

- d) **Cancel** On Click the system will ask for confirmation and on confirming the task will be closed without saving the data.
- e) **Back** On Click of Back, the previous screen will be opened.

2.8 Approval

As a Credit Approver, the user would review the details of the collateral along with the Legal Opinion of the Legal department, Risk Evaluation and Valuation details and recommendation of the review and approve the Collateral.

Following details will available for the user to review the collateral and provide the recommendations.

- Collateral Summary
- Approval
- Checklist
- Comments

2.8.1Collateral Summary

The user can click on each of the tiles to view the detailed information of the Collateral.

| ≡ | 루 FuTura Bank My Tasks | | | î în-Country | (004) 05/17/18 | | Jayshree |
|---------|--|--|-------------------------|--------------|----------------|-------------------|---------------------|
| Collat | eral Evaluation - Approval | | | | | i Documents | $_{\mu^{d'}}\times$ |
| Collate | Collateral Summary | | Approval Details | | Comments | | |
| | Basic Info | Ownership | Seniority Of Charge | | | | |
| | Customer Name WAL Collateral Type Description Bond collateral Available From 23-05-2018 Available Till | WAL | Second Charge | | | | |
| | Documents | Internal Valuation Details | Risk Profile | | | | |
| | R | Valuation Date 23-05-2018 Valuation Currency GSP Valuation Amount 34234234 Valuation Remarks Valuation Remarks | 1 Risk Details Count | | | | |
| | | | | Hol | d Back N | lext Save & Close | Cancel |

| Field Name | Description | Attribute Type | Object Type | Size | Mandatory/Optional | Field Val- idation |
|------------|--|-------------------|----------------|------|--------------------|-----------------------|
| Basic Info | Displays the basic info details of collateral. | Display | Tile | | | |
| Ownership | Displays the ownership de- tails. | Display | Tile | | | |

| Seniority Of Charge | Displays the seniority of charge details. | Display | Tile | | |
|------------------------|---|---------|------|--|--|
| Documents | Displays the document details. | Display | Tile | | |
| Collateral Type | Displays the col- lateral type de- tails. | Display | Tile | | |
| Legal Opin- ion | Displays the Le- gal Opinion | Display | Tile | | |
| Risk Evalu- ation | Displays the Risk Evaluation | Display | Tile | | |
| Valuation | Displays the Valuation of the Collateral | Display | Tile | | |

- c. Save & Close On click of Save & Close the screen gets closed.
- d. **Hold** On Click of Hold the task status will be suspended and the task will be available in the Hold queue.
 - a. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.

c. Cancel – On Click the system will ask for confirmation and on confirming the task will be closed without saving the data.

d. Next – On click of Next, the details of the captured will be saved and then system will move to the Next Screen.

b. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.

2.8.2Approval

As a Credit Approver, the user would review the details of the collateral along with the Legal Opinion of the Legal department, Risk Evaluation and Valuation details and recommendation of the review and approve the Collateral.

| = i | 🖡 FuTura Bank | My Tasks | | | ff In-Country | (004) 05/17/18 | | Jayshree |
|---------|-----------------------|--------------------|------------------|------------------|-----------------|----------------|-------------------|---------------------|
| Collate | eral Evaluation - App | | | | | | i N Documents | $_{\mu^{k'}}\times$ |
| | | Collateral Summary | | Approval Details | | Comments | | |
| Approv | val Details | | | | | | | |
| | | | | | | | | |
| | Final Recommen | dation | | | | | | |
| | Valuation Currency | | Valuation Amount | | Recommendation | | | |
| | GBP | | £34,227,387.15 | | good to proceed | | | |
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| Field Name | Description | Attrib- ute Type | Object Type | Siz e | Mandato- ry/Optional | Field Valida- tion |
|-------------------------|--|------------------------|----------------|----------|-------------------------|--------------------------|
| Valuation Cur- rency | Displays the collateral cur- rency selected. | Display | Read Only | | | |
| Valuation Amount | Capture the valuation amount. | Display | Amoun t | 22, 3 | | |
| Recommenda- tion | Captures the recommenda-tion. | Display | Free Text | | | |

Action Buttons on the footer

- a. Save & Close On click of Save & Close the screen gets closed.
- b. **Hold** On Click of Hold the task status will be suspended and the task will be available in the Hold queue.
 - a. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.

c. Cancel – On Click the system will ask for confirmation and on confirming the task will be closed without saving the data.

d. Next – On click of Next, the details of the captured will be saved and then system will move to the Next Screen.

b. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.

2.8.3Comments

| 😑 🍞 FuTura Bank 🛛 | Лу Tasks | | f In-Country | (004) 05/17/18 | | Jayshree |
|---|--------------------|---------------------------------|--------------|----------------|------------------|-----------------------------------|
| Collateral Evaluation - Approv | | | | | i Nocuments | $\times_{(1)^{k} \in \mathbb{N}}$ |
| | Collateral Summary | G Approval Details | | Comments | | |
| Comments | | | | | | |
| | | | | | | |
| | | | | | | Submit |
| JAYASHREE Approval <i>May 25th, 2018 at 4:23 pm</i> | | Approval stage is completed | | | | |
| JAYASHREE Review And Recommendation May 25th, 2018 at 4:19 pm | | Review stage completed | | | | |
| JAYASHREE Legal Opinion <i>May 25th, 2018 at 4:13 pm</i> | | Legal opinion stage completed | | | | |
| JAYASHREE Valuation <i>May 25th, 2018 at 4:07 pm</i> | | valuation stage completed | | | | |
| JAYASHREE Risk Evaluation May 25th, 2018 at 4:03 pm | | Risk Evaluation stage completed | | | | |
| JAYASHREE Data Enrichment | | | Hold Back | Next Sav | e & Close Submit | Cancel |

| Field Name | Description | At- trib- ute Type | Ob- ject Type | Siz e | Mandato- ry/Optional | Field Validation |
|---------------|--|-----------------------------|---------------------|----------|-------------------------|------------------|
| Com- ments | Specify the com- ments for the stage. This will be visible in all the stages | Input | Free Text | 600 | Mandatory | |

Action Buttons

- a) **Submit –** On Submit, the checklists applicable for the stage will be defaulted based on the application category. On Verifying all the checklist and on selection of the Outcome of the task the task will be submitted.
- b) Save & Close On click of Save & Close, the details of the captured will be saved.
 - a. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.
- c) **Hold** On Click of Hold the task status will be suspended and the task will be available in the Hold queue.

- a. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.
- d) **Cancel** On Click the system will ask for confirmation and on confirming the task will be closed without saving the data.
- e) **Back** On Click of Back, the previous screen will be opened.

2.9 Generate In-principal Collateral Agreement

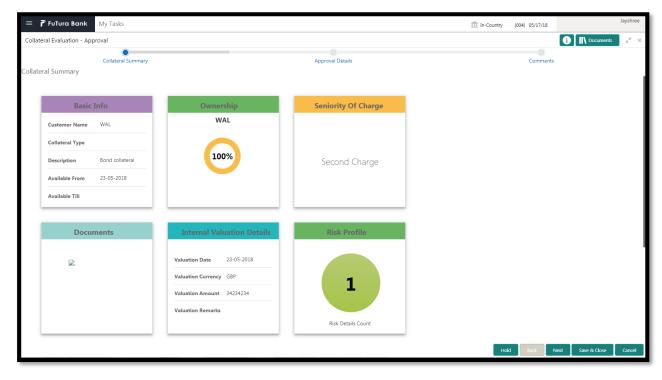
As a Credit Officer the user will review the collateral and its documents and generate the In-Principal Collateral Agreement. The Generated In-Principal Collateral Agreement will be sent to the customer.

Following details will available for the user to review the collateral and generate the In-principal collateral Agreement.

- Collateral Summary
- Generate In-principal Collateral Agreement
- Checklist
- Comments

2.9.1 Collateral Summary

The user can click on each of the tiles to view the detailed information of the Collateral.



| Field Name | Description | Attribute Type | Object Type | Size | Mandatory/Optional | Field Val- idation |
|------------|--|-------------------|----------------|------|--------------------|-----------------------|
| Basic Info | Displays the basic info details of collateral. | Display | Tile | | | |

| Ownership | Displays the ownership de- tails. | Display | Tile | | |
|------------------------|---|---------|------|--|--|
| Seniority Of Charge | Displays the seniority of charge details. | Display | Tile | | |
| Documents | Displays the document de- tails. | Display | Tile | | |
| Collateral Type | Displays the collateral type details. | Display | Tile | | |
| Legal Opin- ion | Displays the Legal Opinion | Display | Tile | | |
| Risk Evalu- ation | Displays the Risk Evaluation | Display | Tile | | |
| Valuation | Displays the Valuation of the Collateral | Display | Tile | | |

- a. Save & Close On click of Save & Close the screen gets closed.
- b. **Hold** On Click of Hold the task status will be suspended and the task will be available in the Hold queue.
 - a. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.

c. Cancel – On Click the system will ask for confirmation and on confirming the task will be closed without saving the data.

d. Next – On click of Next, the details of the captured will be saved and then system will move to the Next Screen.

b. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.

2.9.2Generate In-Principal Collateral Agreement

As a Credit Officer, the user will generate the In-Principal Collateral Agreement and the send the agreement to the customer.

Action Buttons on the footer

- a. Save & Close On click of Save & Close the screen gets closed.
- b. **Hold** On Click of Hold the task status will be suspended and the task will be available in the Hold queue.
 - a. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.

c. Cancel – On Click the system will ask for confirmation and on confirming the task will be closed without saving the data.

d. Next – On click of Next, the details of the captured will be saved and then system will move to the Next Screen.

b. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.

2.9.3Comments

| = F FuTura Bank My Tasks | | ff In-Country | (004) 05/17/18 | Jayshi | ree |
|--|-------------------------------|---------------|----------------|------------------------|-----|
| Collateral Evaluation - Customer Notification | | | | i Nocuments | × |
| O Draft Generation | | Comments | | | |
| Comments | | | | | |
| | | | | | |
| | | | | | |
| | | | | Submi | it |
| JAYASHREE Customer Notification May 25th, 2018 at 4:27 pm | Notification stage completed | | | | |
| JAYASHREE Approval May 25th, 2018 at 4:23 pm | Approval stage is completed | | | | |
| JAVASHREE Review And Recommendation May 25th, 2018 at 419 pm | Review stage completed | | | | l |
| JAVASHREE Legal Opinion <i>May 25th</i> , 2018 at 4:13 pm | Legal opinion stage completed | | | | |
| JAVASHREE Valuation May 25th, 2018 at 4:07 pm | valuation stage completed | | | | |
| JAVASHREE Risk Evaluation | | Hold Back | Next Sav | e & Close Submit Canor | el |

| Field Name | Description | At- trib- ute Type | Ob- ject Type | Siz e | Mandato- ry/Optional | Field Validation |
|---------------|--|-----------------------------|---------------------|----------|-------------------------|------------------|
| Com- ments | Specify the com- ments for the stage. This will be visible in all the stages | Input | Free Text | 600 | Mandatory | |

Action Buttons

- a) **Submit** On Submit, the checklists applicable for the stage will be defaulted based on the application category. On Verifying all the checklist and on selection of the Outcome of the task the task will be submitted. On customer acceptance the Collateral Perfection task will be initiated to do a detailed Collateral Perfection.
- b) **Save & Close** On click of Save & Close, the details of the captured will be saved.
 - a. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.

- c) **Hold** On Click of Hold the task status will be suspended and the task will be available in the Hold queue.
 - a. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.
- d) **Cancel** On Click the system will ask for confirmation and on confirming the task will be closed without saving the data.
- e) Back On Click of Back, the previous screen will be opened.

2.10 Customer Acceptance

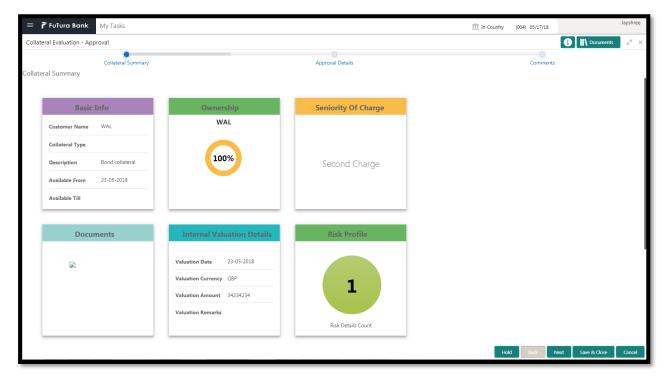
The In-principal Collateral Agreement will be reviewed by the customer and if changes are required then task will be sent to the Review and Recommendations stage else the collateral agreement will be accepted.

Following details will available for the user to review the collateral.

- Collateral Summary
- Customer Acceptance
- Checklist
- Comments

2.10.1 Collateral Summary

The user can click on each of the tiles to view the detailed information of the Collateral.



| Field Name | Description | Attribute Type | Object Type | Size | Mandatory/Optional | Field Val- idation |
|------------|--|-------------------|----------------|------|--------------------|-----------------------|
| Basic Info | Displays the basic info details of collateral. | Display | Tile | | | |

| Ownership | Displays the ownership de- tails. | Display | Tile | | |
|------------------------|---|---------|------|--|--|
| Seniority Of Charge | Displays the seniority of charge details. | Display | Tile | | |
| Documents | Displays the document de- tails. | Display | Tile | | |
| Collateral Type | Displays the collateral type details. | Display | Tile | | |
| Legal Opin- ion | Displays the Legal Opinion | Display | Tile | | |
| Risk Evalu- ation | Displays the Risk Evaluation | Display | Tile | | |
| Valuation | Displays the Valuation of the Collateral | Display | Tile | | |

- a. Save & Close On click of Save & Close the screen gets closed.
- b. **Hold** On Click of Hold the task status will be suspended and the task will be available in the Hold queue.
 - a. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.

c. Cancel – On Click the system will ask for confirmation and on confirming the task will be closed without saving the data.

d. Next – On click of Next, the details of the captured will be saved and then system will move to the Next Screen.

b. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.

2.10.2 Customer Acceptance

The In-principal Collateral Agreement will be reviewed by the customer and if changes are required then task will be sent to the Review and Recommendations stage else the collateral agreement will be accepted.

| 😑 🍞 FuTura Bank | My Tasks | | | f In-Country | (004) 05/17/18 | | Jayshree | | |
|-----------------------------|----------------------|------------------|--------------------|-----------------|----------------|----------|----------|-------------------|-----------------------|
| Collateral Evaluation - Cus | tomer Agreement | | | | | | | i Cocuments | $ _{\mu^{d'}} \times$ |
| | Custome | Acceptance | | | | Comments | | | |
| Customer Acceptance | | | | | | | | | |
| Document Name | Document Description | Document Remarks | Acceptance Outcome | Acceptance Date | Actions | | | | |
| No items to display. | | | | | | | | | |
| | | | | | | Ho | d Back | Next Save & Close | Cancel |

- a. Save & Close On click of Save & Close the screen gets closed.
- b. **Hold** On Click of Hold the task status will be suspended and the task will be available in the Hold queue.
 - a. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.

c. Cancel – On Click the system will ask for confirmation and on confirming the task will be closed without saving the data.

d. Next – On click of Next, the details of the captured will be saved and then system will move to the Next Screen.

b. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.

2.10.3 Comments

| 😑 🍞 FuTura Bank 🛛 My Tasks | | | ff In-Country | (004) 05/17/18 | | Jayshree |
|---|---------------------|------------------------------|---------------|----------------|-------------------|---------------------|
| Collateral Evaluation - Customer Agreement | | | | | i Nocuments | $_{\mu^{d'}}\times$ |
| | Customer Acceptance | | Comments | | | |
| Comments | | | | | | |
| 1 | | | | | | |
| | | | | | | |
| | | | | | | Submit |
| JAYASHREE Customer Notification May 25th, 2018 at 4:27 pm | | Notification stage completed | | | | |
| JAYASHREE Approval May 25th, 2018 at 4:23 pm | | Approval stage is completed | | | | |
| JAYASHREE Review And Recommendation May 25th, 2018 at 4:19 pm | | Review stage completed | | | | |
| | | | | | | Show More |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | Hold Back | Next Sav | re & Close Submit | Cancel |

| Field Name | Description | At- trib- ute Type | Ob- ject Type | Siz e | Mandato- ry/Optional | Field Validation |
|---------------|--|-----------------------------|---------------------|----------|-------------------------|------------------|
| Com- ments | Specify the com- ments for the stage. This will be visible in all the stages | Input | Free Text | 600 | Mandatory | |

Action Buttons

- a) Submit On Submit, the checklists applicable for the stage will be defaulted based on the application category. On Verifying all the checklist and on selection of the Outcome of the task the task will be submitted. On customer acceptance the Collateral Perfection task will be initiated to do a detailed Collateral Perfection.
- b) Save & Close On click of Save & Close, the details of the captured will be saved.
 - a. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.
- c) **Hold** On Click of Hold the task status will be suspended and the task will be available in the Hold queue.
 - a. If mandatory fields have not been captured, system will display error until the mandatory fields have been captured.
- d) **Cancel** On Click the system will ask for confirmation and on confirming the task will be closed without saving the data.
- e) **Back** On Click of Back, the previous screen will be opened.

3. Document Upload and Checklist

3.1 Document Upload

Documents to be uploaded and the checklist of verified for each stage of the process can be maintained. Click on the Documents button to view /upload the documents that has to be uploaded for the stage or to view/ upload the documents which are uploaded for the task.

| Documents | | | × |
|--|--|--|----|
| <u></u> | 1 | | |
| Credit Appraisal Documents Profit AND Loss Statement | Credit Appraisal Documents Supplier Contracts or Agreements | BalanceSheet1617.xls × By - Created - 2018-06-27 | |
| <u></u> | 1. | | ne |

Action Buttons

- a) **Upload** On click of Upload, the Document upload screen will be opened and the user can specify the document title, description, remarks, expiry date and select the document to be uploaded. This button will be visible only for the documents which are not yet uploaded.
- b) View On click of View button the document will be either downloaded or opened based on the browser capability. This button will be visible only for the documents which are already uploaded.
- c) **Edit** On Click of Edit the Document upload screen will be opened and the user can specify the document title, description, remarks, expiry date and select the document to be re-uploaded. This button will be visible only for the documents which are already uploaded.
- d) **Download** On Click of Download the document will be downloaded. This button will be visible only for the documents which are already uploaded.
- e) **Delete** This button will be visible only for the documents which are already uploaded. Click on the X button to delete the uploaded document.

User can click on the upload button to upload the documents

| Document | | × |
|----------------------------|----------------------|---|
| Document Type * | Document Code * | |
| Collateral Documents | Application Form | |
| Document Title * | Document Description | |
| | | |
| | 4 | |
| Remarks | Document Expiry Date | |
| | mm/dd/yy | |
| | | |
| Drop files here or cl | ick to select | |
| Current selected files: [] | | |

Upload

| Field Name | Description | At- trib- ute Type | Ob- ject Type | Siz e | Mandato- ry/Optional | Field Validation |
|-----------------------------------|--|-----------------------------|-----------------------------|----------|-------------------------|------------------|
| Docu- ment Type | System displays the document type | Dis- play | Free Text | | NA | |
| Docu- ment Code | System displays the document Code | Dis- play | Free Text | | NA | |
| Docu- ment Title | Specify the Doc- ument Title | Input | Free Text | 30 | Mandatory | |
| Docu- ment Descrip- tion | Specify the short description of the document | Input | Free Text | 150 | | |
| Remarks | Specify the re- marks if any | Input | Free Text | 150 | | |
| Docu- ment Ex- piry | Specify the Doc- ument Expiry Date | Input | Date | | | |
| Docu- ment Upload | Drag and Drop or click to select the file to be uploaded | Input | Docu ment Up- load | | | |

3.2 Checklist

On click of the submit button the checklist for the stage of the process will be displayed. User can confirm each of the check list by clicking on the checkbox and can capture the remarks by clicking on the remarks button.

| Checklist | | × |
|-----------|--|-------|
| | Proposal Enrichment | |
| | Company Registration document Uploaded Remarks | |
| | Incorporation document Uploaded Remarks | |
| | Collateral document Uploaded Remarks | |
| | | |
| | | |
| | * Outcome Proceed 💌 | ubmit |

| Field Name | Description | At- trib- ute Type | Ob- ject Type | Siz e | Mandato- ry/Optional | Field Validation |
|-------------------------------|--|-----------------------------|----------------------|----------|-------------------------|---|
| Checklist Descrip- tion | System displays the checklists maintained for the stage | Dis- play | Free Text | | Mandatory | Verify the entire checklist before clicking the submit button. |
| Remarks | Specify the re- marks | Input | But- ton/Te xt | | NA | |

4. Reference and Feedback

4.1 References

For more information on any related features, you can refer to the following documents:

- Oracle Banking Getting Started User Guide
- Security Management System User Guide
- Common Core User Guide
- Process Maintenance Worklist User Guide
- Oracle Banking Credit Facilities Process Management Installation Guides

Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc.

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